

Nernst effect in the electron-doped cuprate superconductor $\text{Pr}_{2-x}\text{Ce}_x\text{CuO}_4$: Superconducting fluctuations, upper critical field H_{c2} , and the origin of the T_c dome

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The Nernst effect was measured in the electron-doped cuprate superconductor $\text{Pr}_{2-x}\text{Ce}_x\text{CuO}_4$ (PCCO) at four concentrations, from underdoped ($x = 0.13$) to overdoped ($x = 0.17$), for a wide range of temperatures above the critical temperature T_c . A magnetic field H up to 15 T was used to reliably access the normal-state quasiparticle contribution to the Nernst signal, N_{qp} , which is subtracted from the total signal, N , to obtain the superconducting contribution, N_{sc} . As a function of H , N_{sc} peaks at a field H^* whose temperature dependence obeys $H_{c2}^* \ln(T/T_c)$, as it does in a conventional superconductor like $\text{Nb}_x\text{Si}_{1-x}$. The doping dependence of the characteristic field scale H_{c2}^* – shown to be closely related to the upper critical field H_{c2} – tracks the dome-like dependence of T_c , showing that superconductivity is weakened below the quantum critical point where the Fermi surface is reconstructed, presumably by the onset of antiferromagnetic order. Our data at all dopings are quantitatively consistent with the theory of Gaussian superconducting fluctuations, eliminating the need to invoke unusual vortex-like excitations above T_c , and ruling out phase fluctuations as the mechanism for the fall of T_c with underdoping. We compare the properties of PCCO with those of hole-doped cuprates and conclude that the domes of T_c and H_{c2} vs doping in the latter materials are also controlled predominantly by phase competition rather than phase fluctuations.

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I. INTRODUCTION

Cuprate superconductors have attracted enormous attention because they hold the record for the highest critical temperature T_c , which can be as high as 164 K – halfway to room temperature.¹ As a function of doping, T_c displays a dome-like dependence, reaching a maximal value at some optimal doping. A fundamental question is: Why does T_c not continue to rise with underdoping? A long-held scenario is that the pairing strength (and superconducting gap magnitude) does continue to rise, but the critical temperature T_c for long-range coherence falls because of increasingly strong fluctuations in the phase of the superconducting order parameter.² So the underlying strength of superconductivity would become greater than suggested by the maximal (optimal) value of T_c , and finding ways to increase phase rigidity could further increase the maximal T_c .

The main experimental support for this phase fluctuation scenario came from the observation of a sizable Nernst signal above T_c in underdoped cuprates such as $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ (LSCO).^{3,4} The Nernst effect – the transverse thermo-electric response to a magnetic field – is large in the vortex-liquid state of type II superconductors, due to the motion of vortices.⁵ Consequently, the observation of a large Nernst signal well above T_c in hole-doped cuprates was attributed to short-lived vortex excitations above T_c .³ In this picture, Cooper pairs with a finite gap in their excitation spectrum survive to temperatures as high as $T \simeq 3 T_c$. Defining the upper critical field H_{c2} needed to suppress superconductivity as the field where the Nernst signal vanishes, H_{c2} was found to increase with underdoping, and this was taken as evi-

dence of a rising gap.⁶ A paradigm was born: while the superconducting gap and the upper critical field increase with underdoping, T_c decreases due to phase fluctuations.

In recent years, it was shown that the three basic assumptions underlying this interpretation of the Nernst response in cuprates are invalid. The first assumption was that the quasiparticle contribution to the measured Nernst signal, N_{qp} , is negligible, so that all of the signal can be attributed to superconducting fluctuations. It has since become clear that N_{qp} can in fact be large in a variety of strongly correlated metals,⁷ including cuprates.⁸ For example, Nernst measurements in $\text{YBa}_2\text{Cu}_3\text{O}_y$ (YBCO) and $\text{HgBa}_2\text{CuO}_{4+\delta}$ (Hg1201) reveal a large negative N_{qp} , easily disentangled from N_{sc} because its sign is opposite to that of the superconducting signal.^{9–13} In these materials, N_{qp} starts to grow below the pseudogap temperature T^* , and it becomes comparable in magnitude to N_{sc} at T_c . In the electron-doped material PCCO, the two contributions are again readily resolved, even though both are positive in this case, because N_{qp} exhibits a peak at high temperature while N_{sc} peaks at T_c , which is relatively low in this material.^{14,15} A similar two-peak structure is observed in the hole-doped material $\text{La}_{2-x-y}\text{Eu}_y\text{Sr}_x\text{CuO}_4$ (Eu-LSCO).⁸ In LSCO, the material on which the early studies were based, $N_{\text{qp}}(T)$ is very similar to that of Eu-LSCO, but, because T_c is higher in LSCO, its peak now merges with the peak in $N_{\text{sc}}(T)$, and hence the two contributions are more difficult to disentangle.⁸

The second assumption is that $N_{\text{sc}}(H)$ vanishes above H_{c2} . Nernst measurements on the conventional superconductor $\text{Nb}_x\text{Si}_{1-x}$ have revealed that a superconducting signal can persist to fields as high as $H \simeq 4 H_{c2}$ (and

to temperatures as high as $T \simeq 30 T_c$).^{16,17} This countered the notion that superconducting fluctuations do not exist above H_{c2} . The third assumption was that fluctuations which persist up to 2-3 T_c cannot be the usual Gaussian fluctuations of the superconducting order parameter, and hence these were attributed to unusual vortex-like excitations. In 2009, two groups arrived at a complete theory of Gaussian fluctuations in a dirty 2D superconductor, extending earlier work¹⁸ to arbitrary temperatures and fields.^{19,20} This theory was able to explain in detail and quantitatively the $\text{Nb}_x\text{Si}_{1-x}$ data, proving that standard fluctuations can indeed persist up to $T \gg T_c$ and $H \gg H_{c2}$.

The failure of these three assumptions and the advent of the new theoretical framework imposed a complete re-examination of the Nernst effect in cuprate superconductors. This started with a study of the hole-doped cuprate Eu-LSCO, in which $N_{\text{sc}}(T, H)$ was shown to behave in the same way as it does in $\text{Nb}_x\text{Si}_{1-x}$ and to agree with Gaussian theory.²¹ The characteristic field H_{c2}^* extracted directly from the data – which we show here to be approximately equal to H_{c2} – was found to be very low in the underdoped regime. The authors also re-analyzed the published Nernst data on other hole-doped cuprates to show that H_{c2}^* in fact decreases with underdoping,²¹ in contrast to the prior report of an increasing H_{c2} .⁶ However, because the Eu-LSCO study was limited to dopings on the underdoped side of the T_c dome, it did not allow for the ultimate test: to compare the nature of superconducting fluctuations on the two sides of the dome, and see whether there is a fundamental difference, or not.

In this Article, we report a study of superconducting fluctuations in the electron-doped cuprate PCCO that extends across the phase diagram, allowing us to compare both sides of the dome. This cuprate offers a major advantage in that its critical magnetic field at all dopings is low enough that fluctuations can be fully suppressed by applying only 15 T, thereby allowing a careful extraction of the underlying quasiparticle contribution, N_{qp} . This is essential for a detailed analysis of N_{sc} . We find that N_{sc} obeys Gaussian fluctuation theory quantitatively – compelling evidence that fluctuations in this cuprate are not unusual, on either side of the dome.

We extract H_{c2}^* directly from the N_{sc} data and find that it tracks T_c as a function of doping, with H_{c2}^* and T_c both showing a dome that peaks at the same critical doping. This doping is where the Fermi surface of PCCO is known to undergo a reconstruction,²² due to the onset of a competing phase that breaks translational symmetry, presumably antiferromagnetic order.²³ We conclude that superconductivity in electron-doped cuprates weakens below optimal doping not because of superconducting phase fluctuations but because of phase competition. Comparing with the properties of hole-doped cuprates, we argue that the same conclusion applies for hole-doped materials.

II. PREVIOUS WORK

The Nernst effect in PCCO has been measured previously, on thin films with $0.13 \leq x \leq 0.19$.^{14,15,24} A sizable N_{sc} was detected above T_c in underdoped samples, but *not* in overdoped samples. As a result, Gaussian theory was ruled out and the signal was attributed to phase fluctuations which go away with overdoping. In our study, an improved signal-to-noise ratio and a higher magnetic field allow us to clearly reveal N_{sc} in the overdoped regime. We find that there is in fact no qualitative difference between overdoped and underdoped behavior. For $x \leq 0.15$, our data are consistent with previous data.

III. METHODS

We measured four $\text{Pr}_{2-x}\text{Ce}_x\text{CuO}_{4-\delta}$ thin films to cover underdoped ($x = 0.13, 0.14$), optimally doped ($x = 0.15$), and overdoped ($x = 0.17$) compositions. The epitaxial thin films with [001] orientation were grown by pulsed laser deposition on LSAT substrates using Cu-rich targets to eliminate parasitic phases.²⁵

Typical sample dimensions are 3×2 mm, with a thickness of 3000 Å. The Nernst effect was measured using one heater, one differential thermocouple, and one absolute thermocouple.²⁶ We used non-magnetic type E thermocouples made of chromel and constantan wires. Resistivity measurements were performed in a Quantum Design PPMS. The electrical and thermal currents were applied along the basal plane of the tetragonal crystal structure, and the magnetic field was always applied perpendicular to the basal plane, *i.e.* along the c axis. The critical temperature T_c is defined as the temperature where the resistivity goes to zero; the values are listed in Table I.

TABLE I. Fundamental parameters of superconducting PCCO, as a function of electron concentration x : critical temperature T_c , defined as the temperature below which the resistance is zero at $H = 0$; $H_{\text{vs}}(0)$, the zero-temperature value of the vortex-solid melting field $H_{\text{vs}}(T)$, defined as the magnetic field below which the resistance is zero; characteristic magnetic field scale H_{c2}^* , obtained from the superconducting Nernst signal above T_c (see Eq. 4).

x	T_c (K)	$H_{\text{vs}}(0)$ (T)	H_{c2}^* (T)
0.13	8.8 ± 0.3	3.4 ± 0.3	2.1 ± 0.2
0.14	17.4 ± 0.1	10.1 ± 0.5	4.3 ± 0.3
0.15	19.5 ± 0.1	8.9 ± 0.4	5.4 ± 0.3
0.17	13.4 ± 0.1	3.0 ± 0.2	3.0 ± 0.3

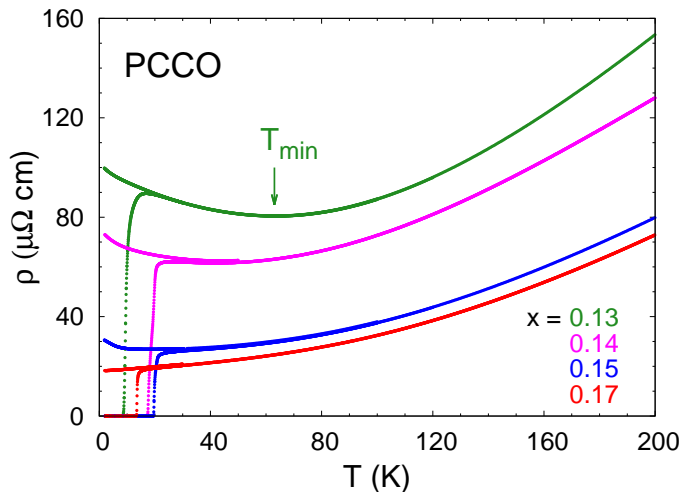


FIG. 1. In-plane resistivity of PCCO as a function of temperature for our four thin film samples. The data at $H = 0$ show the superconducting transition and the data at $H = 15$ T show the normal-state behavior. For all samples except $x = 0.17$, $\rho(T)$ exhibits a minimum, at a temperature T_{\min} (shown here for $x = 0.13$; green arrow). Below T_{\min} , $\rho(T)$ rises as $T \rightarrow 0$, a signature of Fermi-surface reconstruction.

IV. RESULTS

A. Resistivity, T_c and T_{\min}

Figure 1 displays the resistivity data, ρ vs T , for our four samples, at $H = 0$ to show the superconducting transition and at $H = 15$ T to show the normal state behavior. The T_c values are plotted in Fig. 2. As in all cuprate superconductors, T_c has a characteristic dome-like dependence on doping. Except at $x = 0.17$, all normal-state resistivity curves $\rho(T)$ show a minimum, at a temperature T_{\min} which increases with decreasing x . Fig. 2 shows the doping evolution of T_{\min} , seen to extrapolate to zero at $x = 0.16$. This is also the critical doping where the normal-state Fermi surface of PCCO at $T \rightarrow 0$ is known to undergo a reconstruction, detected as a sudden change in the Hall coefficient R_H , going from small and positive at $x > 0.16$ to large and negative at $x \leq 0.16$.^{22,27} It is attributed to the quantum critical point (QCP) below which some ordered phase sets in, at $x_c = 0.16$ (in the absence of superconductivity). The Fermi surface reconstruction (FSR) is also responsible for the upturn in $\rho(T)$ at low T . The resistivity at $x = 0.17$ is strictly linear in T below 40 K, in agreement with previous reports.^{28,29} The absence of any upturn shows that $x = 0.17$ is above the critical doping x_c ; the linearity shows that it is close to the QCP. In Sec. V A, we elaborate on the QCP and the FSR, phenomena that are fundamental for understanding the superconducting phase diagram.

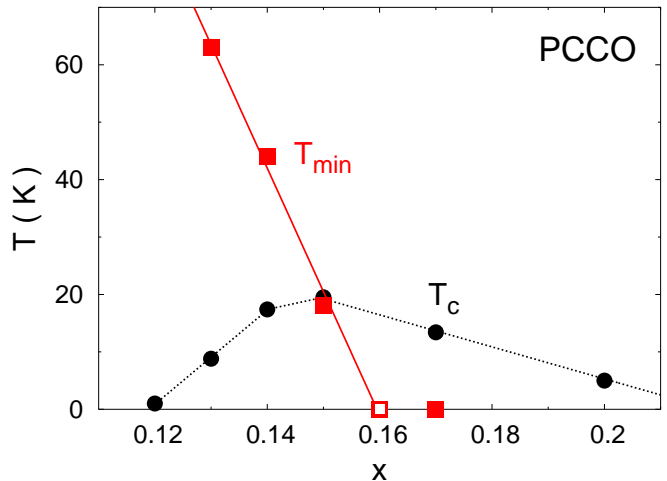


FIG. 2. Temperature-doping phase diagram of PCCO, showing the superconducting dome delineated by the zero-field critical temperature T_c (black circles). Also shown is T_{\min} (red squares), the temperature at which the resistivity $\rho(T)$ has a minimum (see Fig. 1). The red line is a linear fit to the T_{\min} data, extrapolated to $T = 0$ (open square). The corresponding doping, $x_c = 0.16$, is the quantum critical point below which the Fermi-surface reconstruction onsets, in agreement with the critical doping where the normal-state Hall coefficient R_H at $T \rightarrow 0$ exhibits a sharp drop to negative values.²²

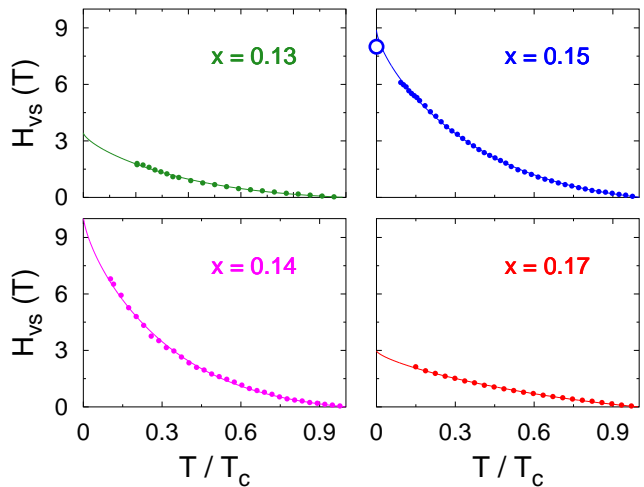


FIG. 3. Vortex-solid melting lines as a function of temperature, plotted as $H_{vs}(T)$ vs T/T_c , for dopings as indicated. $H_{vs}(T)$ is the field below which the sample resistance is zero (full circles). Solid lines are fits to Eq. 1, used to extrapolate $H_{vs}(T)$ to $T = 0$ and obtain $H_{vs}(0)$, whose value is listed in Table I. The open circle in the top right panel marks the value of the upper critical field H_{c2} obtained from thermal conductivity data at $x = 0.15$ (see Fig. 4).

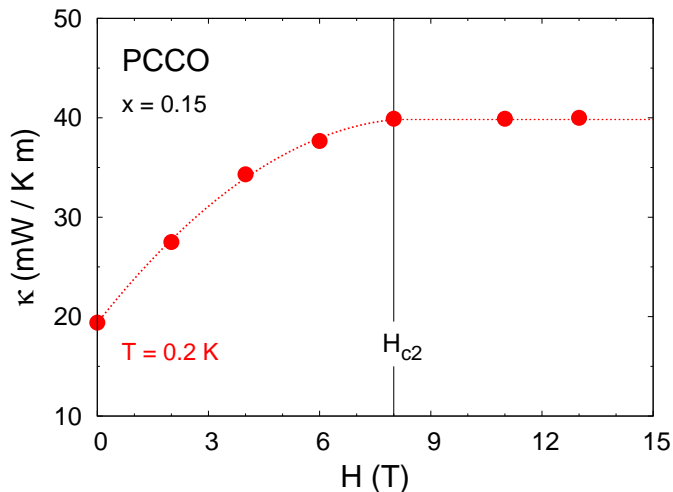


FIG. 4. Thermal conductivity κ as a function of magnetic field H , measured at $T = 0.2$ K on a single crystal of PCCO with $x = 0.15$ ($T_c = 20$ K) (data from ref. 32). The saturation of κ vs H marks the end of the vortex state, providing a direct measurement of the upper critical field H_{c2} , defined as the field above which vortices disappear,³¹ giving $H_{c2} = 8 \pm 1$ T.

B. Vortex solid melting field H_{vs}

Having displayed the doping dependence of the critical temperature T_c , we now turn our attention to a second fundamental quantity, the critical magnetic field needed to suppress superconductivity. We call $H_{vs}(T)$ the critical field above which the electrical resistance of the sample ceases to be zero. In a type-II superconductor like PCCO, this is in fact the field at which the vortex solid melts, hence the labeling. We measured the resistivity of our PCCO films at different temperatures below T_c to track the temperature dependence of H_{vs} at each doping. The resulting field-temperature phase diagram is shown in Fig. 3. At all dopings, H_{vs} has the typical behavior of cuprate superconductors, with positive curvature.

Above the $H_{vs}(T)$ line, the electronic state is a vortex liquid. The question of where this vortex liquid ends in cuprates has been the subject of much debate.³⁰ Recently, it was shown that measurements of the thermal conductivity can be used to answer that question.³¹ In three hole-doped cuprates, it was found that there is no vortex-liquid phase at $T \rightarrow 0$. In other words, with decreasing field, at $T = 0$, vortices appear precisely at $H_{vs}(0)$. This provides a convenient empirical procedure for determining the upper critical field H_{c2} , namely $H_{c2} = H_{vs}(0)$. Of course, with increasing temperature, the vortex-liquid phase grows.

To extrapolate to $T = 0$, we use the standard expression for the temperature dependence of $H_{vs}(T)$:³³⁻³⁵

$$\frac{\sqrt{b_m(T)}}{1 - b_m(T)} \frac{t}{\sqrt{1 - t}} \left[\frac{4(\sqrt{2} - 1)}{\sqrt{1 - b_m(T)}} + 1 \right] = \frac{2\pi c_L^2}{\sqrt{G_i}}, \quad (1)$$

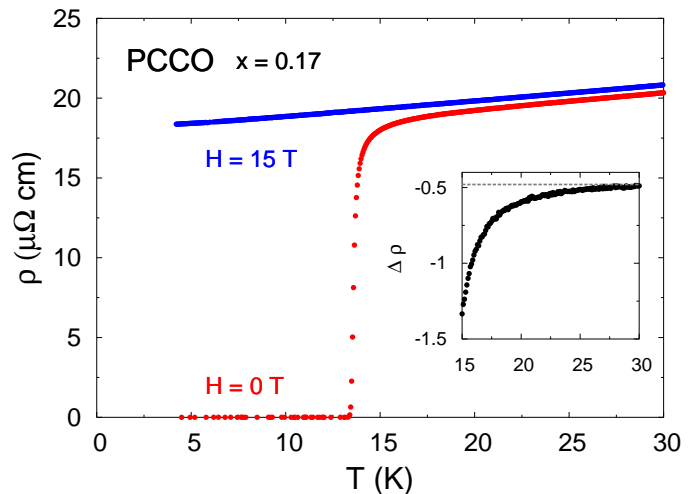


FIG. 5. Resistivity of our PCCO sample with $x = 0.17$. The critical temperature is defined as the temperature where the zero-field data (red) goes to zero: $T_c = 13.4$ K. The normal-state behavior is given by the data in a field $H = 15$ T (blue), which includes a slight rigid upward shift due to positive magnetoresistance. A regime of paraconductivity is detectable below $T \simeq 2 T_c$, due to superconducting fluctuations that gradually decrease $\rho(T)$, before its rapid drop to zero at T_c . *Inset*: Zoom on paraconductivity: $\Delta\rho \equiv \rho(0) - \rho(15 \text{ T})$ vs T .

in terms of the reduced field $b_m = H_{vs}/H_{vs}(0)$ and reduced temperature $t = T/T_c$. We use the same definitions for the Ginzburg and Lindemann parameters (G_i and c_L) as in ref. 35. In Fig. 3, we see that Eq. 1 fits the data well and allows us to obtain $H_{vs}(0)$, whose value at each doping is listed in Table I. The same expression was also found to fit the H_{vs} data of hole-doped cuprates very well, in both underdoped and overdoped regimes.^{31,35}

We can use existing thermal conductivity data to confirm that H_{c2} is indeed equal to $H_{vs}(T \rightarrow 0)$ in PCCO. In Fig. 4, we reproduce published data taken at $T = 0.2$ K on a single crystal of PCCO at optimal doping ($x = 0.15$, $T_c = 20$ K).³² We see from those data that $H_{c2} = 8 \pm 1$ T, in excellent agreement with the value of $H_{vs}(0) = 8.9 \pm 0.4$ T we obtain by extrapolating $H_{vs}(T)$ to $T = 0$ (Fig. 3). A similar value for $H_{vs}(0)$ is reported in prior studies.³⁶

C. Nernst effect : overdoped sample ($x = 0.17$)

In presenting our Nernst data on PCCO, we begin with the overdoped sample at $x = 0.17$. There are two reasons for this initial focus. First, this sample provides a fundamental reference point for all Nernst studies of cuprate superconductors, missing until now. In an overdoped sample, the Fermi surface is neither reconstructed nor altered by a pseudogap: it is simply a single large hole-like cylinder, whose area is precisely given by the doping. In hole-doped cuprates, this k -space area is pro-

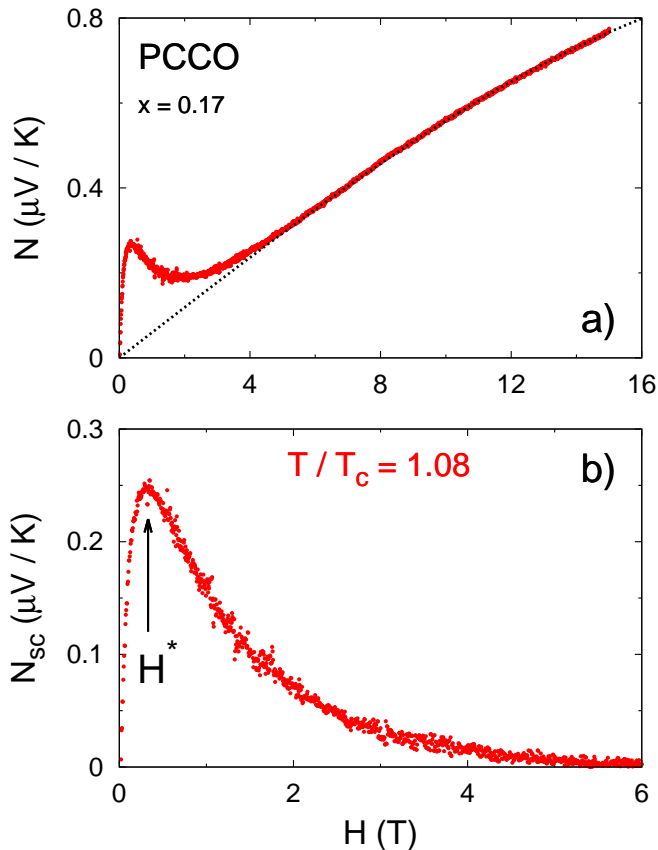


FIG. 6. Nernst response of PCCO as a function of magnetic field H in our sample with $x = 0.17$, at $T = 1.08 T_c$. a) Raw Nernst signal N vs H (red). The black dotted line is a polynomial fit to the data above 10 T, of the form $N_{\text{qp}} = a(T)H + b(T)H^3$. N_{qp} is the quasiparticle background of the underlying normal state. b) Superconducting contribution to the Nernst signal, defined as $N_{\text{sc}} \equiv N - N_{\text{qp}}$ (Eq. 2). For any given temperature above T_c , N_{sc} vs H exhibits a peak, at a field labelled H^* (arrow).

portional to $1+p$,³⁷ in PCCO, it is proportional to $1-x$.²³ Moreover, in PCCO, we have a particularly simple crystal structure, which is tetragonal and free of bilayers, buckling, oxygen order or chains.³⁸ The Nernst data we report here can therefore be regarded as the archetype of an overdoped cuprate, the property of a single pristine CuO_2 plane. Second, having data in the overdoped regime will enable us to make the first direct comparison of superconducting fluctuations on the left and right sides of the T_c dome, and establish the differences, if any.

In Fig. 5, we show the in-plane resistivity $\rho(T)$ of our $x = 0.17$ sample, below 30 K. With increasing temperature, ρ rises suddenly at $T_c = 13.4$ K. Above T_c , there is a regime of paraconductivity, where superconducting fluctuations reduce the resistivity from its normal-state value. The data at $H = 15$ T provide the normal-state reference, *modulo* a small rigid shift in $\rho(T)$ due to a positive orbital magneto-resistance. Note that paracon-

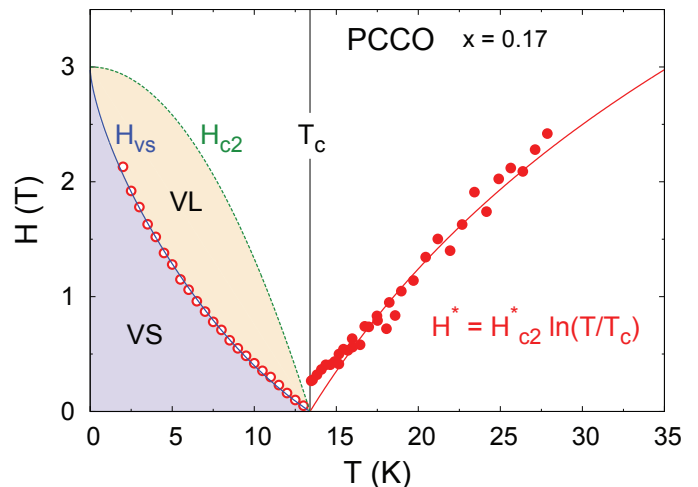


FIG. 7. Magnetic field-temperature phase diagram of PCCO at $x = 0.17$. The peak field H^* (full red dots) is plotted above T_c (vertical black line). The solid red line is a fit of the H^* vs T data, to the formula $H^* = H_{c2}^* \ln(T/T_c)$ (Eq. 4). The vortex-solid melting field $H_{\text{vs}}(T)$ (open circles) is the boundary between the vortex-solid phase (VS), where the electrical resistance is zero, and the vortex-liquid phase (VL), where the resistance is non-zero. The upper critical field H_{c2} (green dotted line) is the boundary between the vortex liquid and the normal state, where there are no vortices. At $T = 0$, there is no vortex liquid, since $H_{c2}(0) = H_{\text{vs}}(0)$, as reported for hole-doped cuprates,³¹ and shown here for PCCO at $x = 0.15$ (Figs. 3 and 4). Note that the two characteristic field scales for superconductivity, obtained respectively at $T \rightarrow 0$ and at $T > T_c$, are equal for $x = 0.17$, namely $H_{c2}(0) = H_{c2}^* = 3.0$ T (Table I).

ductivity can be seen up to $2 T_c$ or so (see inset of Fig. 5).

The raw Nernst signal N as a function of field is shown in Fig. 6a, at $T = 1.08 T_c$. $N(H)$ shows an initial rise with a subsequent fall on top of a smoothly increasing background. The peak at low field is due to superconducting fluctuations, N_{sc} , while the background is the normal-state quasiparticle signal, N_{qp} . The total Nernst signal is the sum of these two components:

$$N = N_{\text{sc}} + N_{\text{qp}} \quad . \quad (2)$$

To establish the background for each isotherm, we fit the data above 10 T to a power law:

$$N_{\text{qp}} = a(T)H + b(T)H^3 \quad . \quad (3)$$

The dotted line in Fig. 6a is a fit to Eq. 3. We see that it describes the raw data very well from ~ 6 T all the way to 15 T. Note that a cubic term is essential to capture the correct H dependence of N_{qp} . In previous work, limited to lower fields,¹⁵ N_{qp} was assumed to have a purely linear dependence, but in principle all odd powers of H are allowed by symmetry.

In Fig. 6b, we plot the superconducting signal $N_{\text{sc}} = N - N_{\text{qp}}$. It rises rapidly from zero, goes through

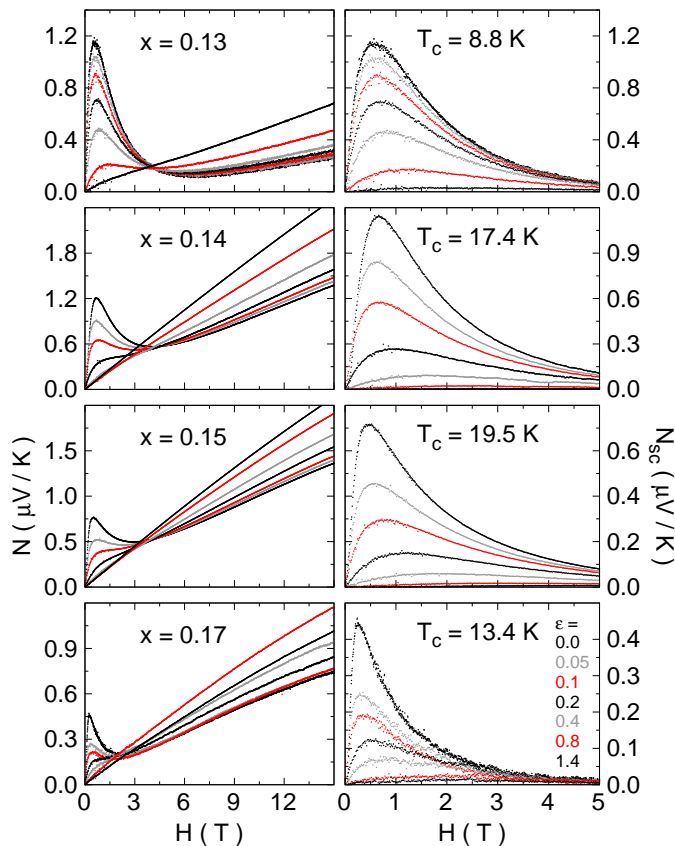


FIG. 8. *Left panels*: Raw Nernst data as a function of field for our four samples, at dopings as indicated. For each doping, we present seven isotherms, at $\epsilon = 0.0, 0.05, 0.1, 0.2, 0.4, 0.8$, and 1.4 , where $\epsilon \equiv (T - T_c)/T_c$, with T_c as indicated. *Right panels*: Superconducting contribution to the Nernst signal, N_{sc} , obtained by subtracting the normal-state background, N_{qp} , as shown in Figs. 6 and 9, according to Eqs. 2 and 3. Note that with increasing temperature the magnitude of N_{sc} decreases and the peak field H^* moves up. H^* is plotted vs ϵ in Fig. 10.

a peak, and then decreases gradually, to eventually become vanishingly small at high field, for $H > 2H_{c2}$ or so. (It has been suggested that there may be an intrinsic limit to how high superconducting fluctuations can extend above H_{c2} (or T_c), associated with the uncertainty principle.^{39,40}) This is a typical signal for a superconductor. In fact, N_{sc} displays these same features in all superconductors, at $T > T_c$. In particular, there is always a peak field, which we label H^* . We see that H^* is a characteristic field scale for superconductivity in a given material that is directly and immediately obtainable from the data, with no assumptions and no model or theory.

As a function of temperature, N_{sc} decreases in magnitude, but the peak field H^* increases. In Fig. 7, we show on a field-temperature phase diagram the temperature dependence of H^* above T_c , and also $H_{vs}(T)$ measured on the same sample, below T_c . In addition, we sketch the temperature dependence of the upper critical field

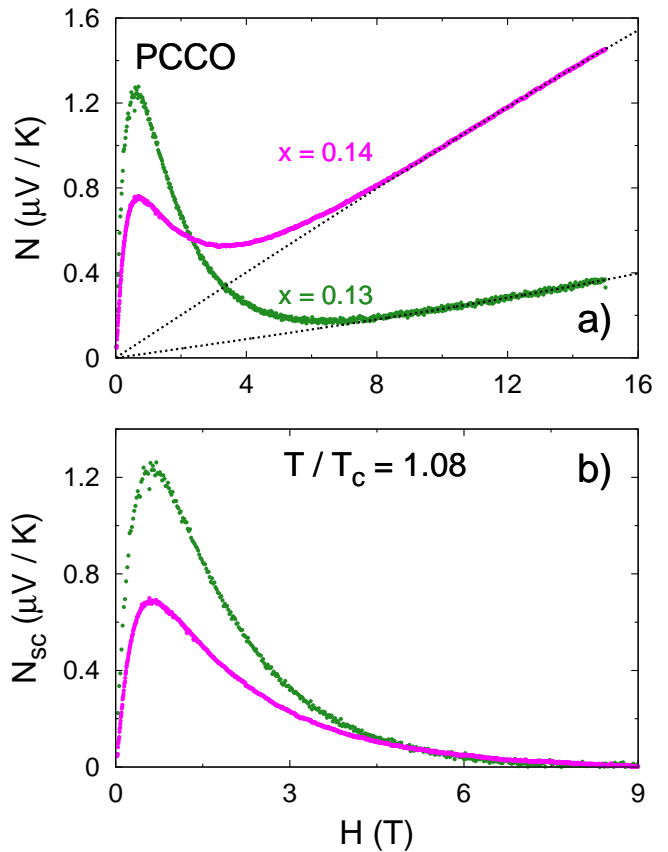


FIG. 9. Nernst response of PCCO vs magnetic field, for $x = 0.13$ (green) and $x = 0.14$ (magenta), at $T = 1.08 T_c$. a) Raw Nernst signal N vs H . The black dotted line is a polynomial fit to the data above 10 T, of the form $N_{qp} = a(T)H + b(T)H^3$ (Eq. 3). b) Superconducting contribution to the Nernst signal, defined as $N_{sc} \equiv N - N_{qp}$ (Eq. 2).

$H_{c2}(T)$. $H^*(T)$ and $H_{c2}(T)$ are images of each other on either side of T_c , and for this reason H^* has been called the “ghost critical field”.⁴¹ The H^* data can be fit to a logarithmic dependence, such that

$$H^* = H_{c2}^* \ln(T/T_c) \quad . \quad (4)$$

The prefactor H_{c2}^* is a single empirical parameter that characterizes the strength of superconductivity.

The $\ln(T/T_c)$ dependence in Eq. 4 was explained intuitively by Pourret *et al.* in the context of $\text{Nb}_x\text{Si}_{1-x}$ thin films.¹⁷ They proposed that the crossover from increasing to decreasing N_{sc} occurs because the length scale for superconducting fluctuations at low H is set by the coherence length $\xi(T)$, while at high H it is set by the magnetic length $\ell_B = \sqrt{\hbar/eH}$. H^* would be the field where the two length scales become comparable, *i.e.* $\xi(H^*) \simeq \ell_B(H^*)$. Since $\xi(T) \propto 1/\sqrt{\ln(T/T_c)}$ and $\ell_B(H^*) \propto 1/\sqrt{H^*}$, this yields $H^* \propto \ln(T/T_c)$.

The one-parameter fit to the $x = 0.17$ data in Fig. 7 using Eq. 4 yields $H_{c2}^* = 3.0 \pm 0.3$ T (Table I). (Note that the data deviate from the fit close to T_c – an in-

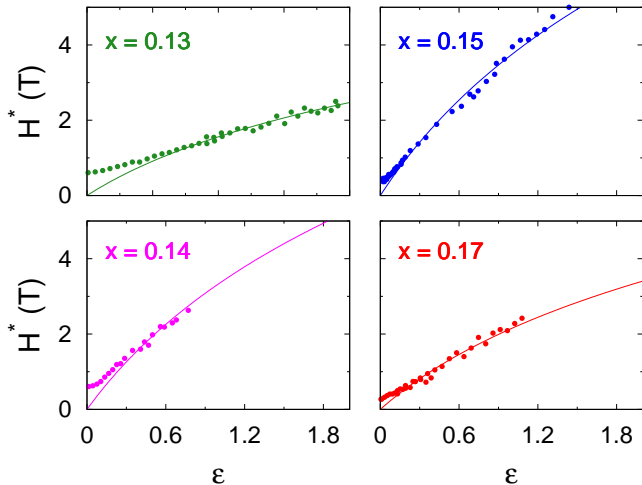


FIG. 10. Temperature dependence of the peak field H^* in PCCO, at dopings as indicated, plotted as a function of $\epsilon \equiv (T - T_c)/T_c$. H^* is the field at which N_{sc} peaks, in isotherms of N_{sc} vs H as shown in the right panels of Fig. 8. The lines are a fit of the data to the function $H^* = H_{c2}^* \ln(T/T_c)$ (Eq. 4). The fit allows us to extract a single characteristic field, H_{c2}^* , from the superconducting fluctuations at each doping, directly from the data. The values of the single fit parameter, H_{c2}^* , are listed in Table I and plotted vs x in Fig. 11. At low ϵ , the data deviate from the fit for reasons given in Sec. V C.

trinsic effect explained in Sec. V C.) This value can be compared with our estimate of H_{c2} for that same sample, obtained as the $T = 0$ limit of the resistive critical field $H_{vs}(T)$, whose value is $H_{vs}(0) = 3.0 \pm 0.2$ T (see Table I and Figs. 3, 7). We arrive at a useful empirical result: the characteristic field scale encoded in superconducting fluctuations above T_c , when defined as in Eq. 4, is equal to the field needed to kill superconductivity at $T = 0$. In other words, we now have a straightforward empirical procedure for measuring the fundamental field scale for superconductivity, H_{c2} , from superconducting fluctuations above T_c . Note that this is for a single-band d -wave superconductor.

In summary, superconducting fluctuations in overdoped PCCO, at $x = 0.17$, are detectable in N_{sc} up to $T \simeq 2 T_c$ and $H \simeq 2 H_{c2}$, and they can be used to measure H_{c2} . What is the nature of these fluctuations? As we show in Sec. V, not only does N_{sc} have precisely the field dependence predicted by Gaussian theory, its magnitude is in excellent agreement with theoretical expectation. We conclude that the superconducting fluctuations of an overdoped cuprate are now well understood.

D. Nernst effect : all dopings ($0.13 \leq x \leq 0.17$)

The left panels of Fig. 8 present a selection of raw Nernst isotherms, labelled by their reduced temperature $\epsilon \equiv (T - T_c)/T_c$, for each of the four samples. The su-

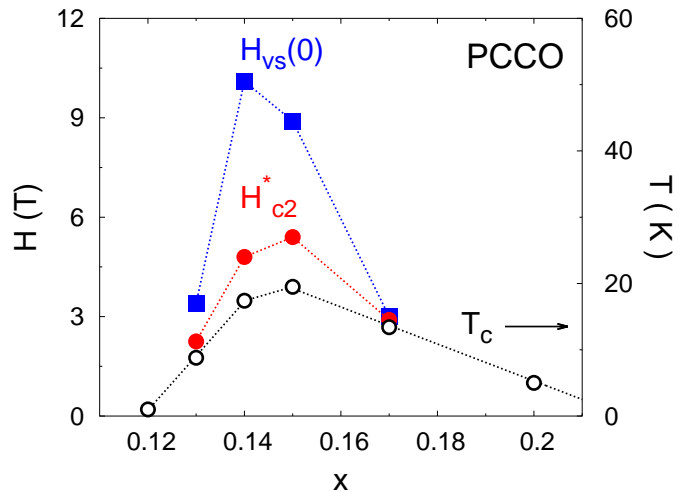


FIG. 11. The two magnetic field scales of superconductivity in PCCO, plotted as a function of doping (Table I). $H_{vs}(0)$ (blue squares), the zero-temperature value of the upper critical field, is obtained by extrapolating to $T = 0$ the vortex-solid melting field $H_{vs}(T)$ below which the resistance is zero (see Fig. 3). The field scale H_{c2}^* (red circles) is obtained from the superconducting fluctuations above T_c (see Fig. 10). Note that $H_{c2}^* = H_{vs}(0)$ at $x = 0.17$.

perconducting Nernst signal N_{sc} is shown in the corresponding right panels. Examples of background subtraction are given in Fig. 9, for $x = 0.13$ and $x = 0.14$. As in the $x = 0.17$ sample, there is a large positive N_{qp} , mostly linear in H , but with a small additional H^3 term. The peak field H^* obtained from N_{sc} vs H is plotted vs ϵ in Fig. 10 for the four dopings. A fit to Eq. 4 yields the H_{c2}^* values listed in Table I, and plotted vs x in Fig. 11. For all x , the data deviate from the fit as $T \rightarrow T_c$, for reasons given in sec. V C. In Fig. 12a, we plot the Nernst coefficient ν , defined as $\nu \equiv N/H$, vs H . We see that it is flat at low H , *i.e.* N is linear as $H \rightarrow 0$. In Fig. 12b, we plot the initial value of $\nu(H)$, which we call ν_0 , vs ϵ . In Table II, we list the values of ν_0 for the four dopings,

TABLE II. Superconducting contribution to the off-diagonal Peltier coefficient α_{xy} of PCCO at $H \rightarrow 0$, given per CuO_2 plane by $\alpha_{xy}^{\text{sc}}/H = \nu_0^{\text{sc}}/\rho_{\square}$, as a function of x . ν_0 is the value of the raw Nernst coefficient $\nu \equiv N/H$ at $H \rightarrow 0$ (Fig. 12); $\nu_0^{\text{sc}} = \nu_0 - \nu_0^{\text{qp}}$ is the superconducting contribution obtained by subtracting the quasiparticle contribution ν_0^{qp} (Fig. 12); ρ is the electrical resistivity at $H = 0$ (Fig. 1), and $\rho_{\square} = \rho/s$. All values are measured at $\epsilon = 0.1$ (*i.e.* at $T = 1.1 T_c$).

x	ν_0 (nV/KT)	ν_0^{sc} (nV/KT)	ρ ($\mu\Omega$ cm)	$\alpha_{xy}^{\text{sc}}/H$ (nA/KT)
0.13	4560	4540	65 ± 15	4.3 ± 1.0
0.14	1890	1790	45 ± 10	2.4 ± 0.5
0.15	1060	960	25 ± 3	2.3 ± 0.3
0.17	1000	940	18 ± 5	3.2 ± 0.9

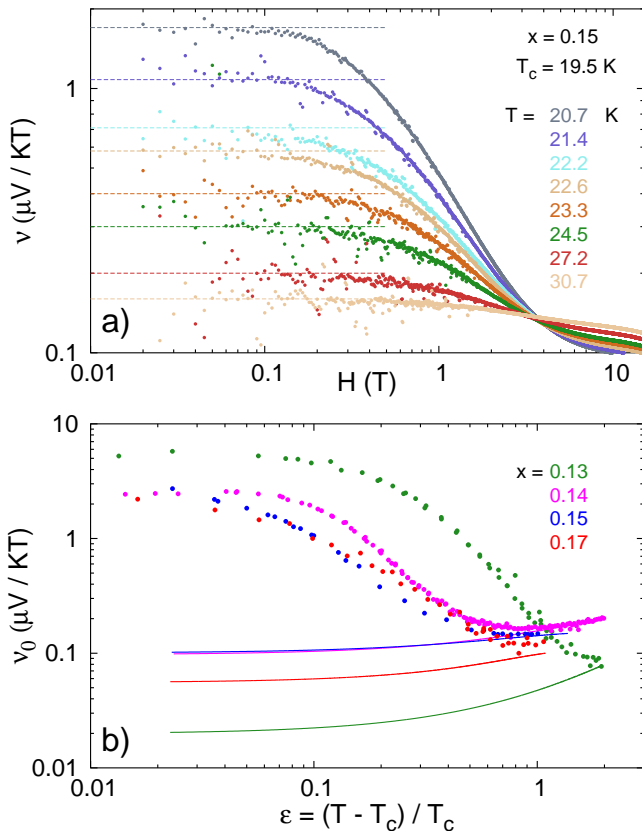


FIG. 12. a) Raw Nernst coefficient $\nu \equiv N/H$ of PCCO at $x = 0.15$, for selected isotherms above T_c , as indicated. In the limit $H \rightarrow 0$, $\nu(H)$ becomes flat, reaching a constant value, ν_0 , given by the dashed line. b) Temperature dependence of ν_0 (dots), at dopings as indicated, plotted as a function of ϵ . The solid lines are the normal-state contribution to ν_0 , *i.e.* $\nu_{qp} \equiv N_{qp}/H$ in the limit $H \rightarrow 0$. Note that ν_0 saturates below $\epsilon \simeq 0.1$, as expected from Gaussian theory in the limit $T \rightarrow T_c$ (see Sec. V C).

at $\epsilon = 0.1$, and compare these to theoretical expectation in Sec. V C.

V. DISCUSSION

Having presented our data for the Nernst signal in PCCO as a function of H , T and x , we now examine what they tell us about the nature of the superconducting fluctuations and the mechanisms that control the strength of superconductivity in cuprates.

A. Fermi-surface reconstruction

To make sense of the doping dependence of superconductivity in PCCO, it is essential to first describe the underlying normal state and how it evolves with doping.

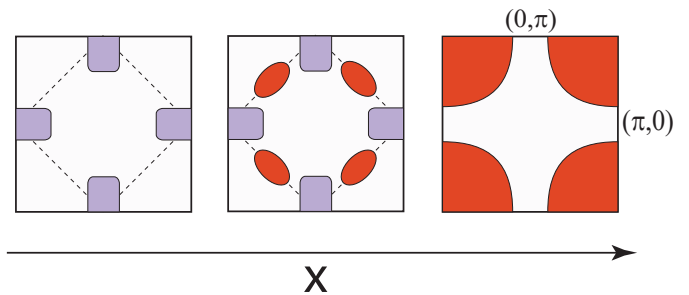


FIG. 13. Sketch of the doping evolution of the Fermi surface in PCCO, based on ARPES measurements performed on NCCO, a closely-related material.⁴² At high x , in the overdoped regime, the Fermi surface is a single large hole-like nearly circular Fermi cylinder (drawn in red). Below a critical doping $x_c \simeq 0.16$, the Fermi surface undergoes a reconstruction, into small hole (red) and electron (blue) pockets. At low x , the small hole pockets eventually disappear, leaving only the electron pockets centered at $(\pm \pi, 0)$ and $(0, \pm \pi)$.

The key organizing principle is a quantum critical point x_c at which the Fermi surface undergoes a major transformation. The evolution is sketched in Fig. 13. Above x_c , the Fermi surface of PCCO is a single large closed hole-like cylinder, with a k -space area given by $1 - x$. This is confirmed experimentally in several ways. First, in the limit of $T = 0$ the Hall coefficient $R_H = +1/ne$, where the carrier density $n = 1 - x$ carriers per Cu atom.²² Second, the frequency F of quantum oscillations detected in overdoped $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$ (NCCO), a closely related material, is such that $F = n\Phi_0$.⁴³ Third, measurements of angle-resolved photoemission spectroscopy (ARPES) in NCCO see a large closed Fermi surface of the right area.⁴²

In the normal state at $T \rightarrow 0$, achieved by applying a field $H > H_{c2}$, R_H undergoes a sudden and dramatic change below $x_c = 0.16$. It goes from small and positive to large and negative.²² ARPES measurements on NCCO reveal a transformation as sketched in Fig. 13, whereby the large hole-like cylinder of the overdoped regime is reconstructed into two small pockets, respectively located at $(\pi, 0)$ and $(\pi/2, \pi/2)$, as the doping is reduced below $x \simeq 0.16$.⁴² This reconstruction is consistent with the observation of low-frequency quantum oscillations in NCCO,⁴³ which reveal the existence of a small closed pocket in the Fermi surface, tentatively attributed to the pocket seen by ARPES near $(\pi/2, \pi/2)$.

The evidence so far is consistent with a Fermi-surface reconstruction caused by the onset of a density-wave order with a wavevector $Q = (\pi, \pi)$,²³ which could well be the commensurate Néel antiferromagnetic order observed by neutrons at low x .⁴⁴ In this case, the pocket at $(\pi, 0)$ is electron-like and the pocket at $(\pi/2, \pi/2)$ is hole-like. One generically expects a Lifshitz transition to occur at a doping well below x_c , where the hole-like pocket disappears, leaving only the electron-like pocket at $(\pi, 0)$ (see Fig. 13).²³

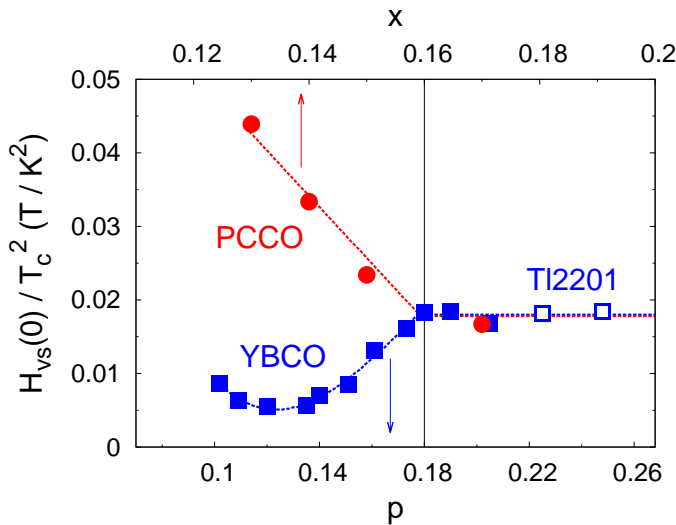


FIG. 14. $H_{vs}(0)$ divided by T_c^2 vs doping, for the electron-doped cuprate PCCO (red circles, top horizontal axis) and the hole-doped cuprates (bottom horizontal axis; data from ref. 31) YBCO (full blue squares) and TI-2201 (open blue squares).

The FSR described here will affect all transport properties. In addition to the dramatic changes in R_H , the resistivity ρ also shows signatures of FSR, in particular the upturn in $\rho(T)$ seen at low temperature (Fig. 1), which we attribute to the loss of carrier density. The temperature T_{\min} of the minimum in $\rho(T)$ may then be viewed roughly as the onset of FSR as a function of temperature (Fig. 2). In the resistivity, the onset of FSR at $T = 0$ also occurs at $x_c = 0.16$, where $T_{\min} \rightarrow 0$, in agreement with the $R_H(0)$ data, but at $T = 20$ K it only occurs at $x = 0.15$ (see Fig. 2). In other words, the Fermi surface of PCCO at $x = 0.15$ may be considered unreconstructed for $T > T_c$.

For our study of superconductivity, what we immediately note is that T_c falls with underdoping as soon as it crosses the T_{\min} line (Fig. 2). This strongly suggests that the cause of the T_c dome is the FSR – or, more fundamentally, whatever causes the FSR. In the following sections, we bring support to this scenario of phase competition in two different ways: first, by showing that the characteristic field H_{c2}^* also falls below $x = 0.15$, forming a dome just like the T_c dome; second, by showing that the superconducting fluctuations on both sides of the dome are not qualitatively different. These observations remove the need to invoke the emergence of phase fluctuations on the underdoped side.

B. Characteristic field H_{c2}^* and critical field H_{c2}

In Fig. 11, we plot H_{c2}^* as a function of x . This is the field scale encoded in the superconducting fluctuations just above T_c . We see that H_{c2}^* tracks T_c , both showing

a dome peaking at the same doping, namely $x = 0.15$. As already mentioned, this is the doping where FSR onsets for $T \simeq 20$ K (see Fig. 2). This shows that what causes T_c to fall below $x = 0.15$ also causes H_{c2}^* to fall, *i.e.* the coherence length ξ to increase. Note that ξ is an average of v_F/Δ over the Fermi surface, where v_F is the Fermi velocity and Δ is the gap magnitude, so that changes in either v_F or Δ (or both) will affect ξ , and hence H_{c2}^* .

Let us examine the evolution of H_{c2}^* more closely. At $T = 20$ K, the Fermi surface is not reconstructed in going from $x = 0.17$ to $x = 0.15$, so the Fermi-surface average of v_F should be mostly unchanged. Therefore the increase in H_{c2}^* from 3.0 T to 5.4 T must be due to an increase in Δ . We can check that by looking at the ratio H_{c2}^*/T_c^2 , which should remain constant if only Δ changes, since (in a simple model) $H_{c2}^* \sim \Delta^2$ and $T_c \sim \Delta$. At $x = 0.17$ and $x = 0.15$, $H_{c2}^*/T_c^2 = 17 \pm 2$ mT / K² and 14 ± 1 mT / K², respectively. We see that within error bars, the rise in both H_{c2}^* and T_c is driven entirely by an increase in Δ . Moreover, the magnitude of H_{c2}^* is consistent with expectation for a dirty d -wave superconductor. Indeed, using $H_{c2}^* \simeq \Phi_0/2\pi\xi_0^2$ and expressions for ξ_0 given in the Appendix, we estimate that $H_{c2}^* = 2.3$ T and 5.1 T for $x = 0.17$ and 0.15, compared to measured values of 3.0 ± 0.3 T and 5.4 ± 0.3 T, respectively. In summary, we understand the magnitude and doping dependence of H_{c2}^* in PCCO when its Fermi surface is *not* reconstructed.

Let us now see what happens when the Fermi surface *is* reconstructed. The Fermi surface changes from a single large pocket with a large v_F to two small pockets with a much smaller v_F .⁴³ This will boost H_{c2}^* and $H_{vs}(0)$, so the fact that H_{c2}^* nevertheless falls below $x = 0.15$ implies that Δ must necessarily decrease. In Fig. 14, a plot of the ratio $H_{vs}(0) / T_c^2$ vs x reveals that the enhancement of $H_{vs}(0)$ due to the smaller v_F gets gradually stronger with underdoping.

Note that when the Fermi surface changes we expect the ratio $H_{vs}(0) / H_{c2}^*$ to change, because $H_{vs}(0)$ is controlled by those k -space regions with the smallest ξ , while N_{sc} , and hence H_{c2}^* , is dominated by those regions with the largest ξ (see Sec. V C), and the relative proportion of these regions will change. In Fig. 11, we see that while $H_{vs}(0) = H_{c2}^*$ at $x = 0.17$, the FSR causes $H_{vs}(0)$ to become larger than H_{c2}^* .

The emerging picture is the following. With decreasing x , starting at $x \simeq 0.2$, the d -wave gap Δ_0 grows, causing T_c and H_{c2} to grow, until a critical doping where FSR sets in, whereupon superconductivity is weakened, and both T_c and H_{c2} fall. The FSR is due to the onset of a density-wave state that breaks translational symmetry, and fundamentally it is this second phase that competes with superconductivity.^{45,46} This type of phase competition scenario is observed in several families of unconventional superconductors, including the quasi-1D organic metals^{47,48}, the quasi-2D iron-based superconductors⁴⁹, and the quasi-3D heavy-fermion metals^{50,51}, where in all cases the competing phase is a spin-density wave. The

organizing principle in such a scenario is the QCP where the second phase sets in, invariably located inside the T_c dome. We conclude that the fundamental mechanism for a dome of T_c vs doping in PCCO is again phase competition, most likely also with a phase of antiferromagnetic order.³⁸

C. Comparison to theory of Gaussian fluctuations

Until now, we have extracted information from N_{sc} without having recourse to any theory or model or assumption. We simply obtained H^* directly from the data of N_{sc} vs H , and then obtained H_{c2}^* directly from the T dependence of H^* . In this section, we compare our data in PCCO to the standard (Aslamazov-Larkin) theory of superconducting fluctuations.⁵²

The calculated quantity is the superconducting contribution to the transverse thermo-electric conductivity, α_{xy}^{sc} , while the measured quantity is N_{sc} . The two are related as follows :

$$\alpha_{xy}^{sc} \simeq \frac{N_{sc}}{\rho} . \quad (5)$$

In 2002, Ussishkin, Sondhi, and Huse calculated the thermo-electric response of a quasi-2D type-II superconductor in the Gaussian approximation, in the limits of $H \rightarrow 0$ and $T \rightarrow T_c$.¹⁸ In 2009, calculations of α_{xy}^{sc} in a dirty 2D type-II superconductor were extended to arbitrary T and arbitrary H by two groups independently,^{19,20} who arrived at similar results. We now compare the magnitude and field dependence of N_{sc} measured in PCCO with the latest predictions of Gaussian theory.

1. Magnitude

In the limit of $H \rightarrow 0$ and $T \rightarrow T_c$, α_{xy}^{sc} above T_c is given, in two dimensions, by:¹⁹

$$\alpha_{xy}^{sc} = \frac{2}{3} \frac{k_B e}{h} \frac{H}{\tilde{H}_{c2}(0)} \frac{1}{\epsilon} , \quad (6)$$

where $k_B e/h = 3.33$ nA/K is the quantum of thermoelectric conductance,^{16,53} $\tilde{H}_{c2}(0) = \Phi_0/2\pi\xi^2(0)$, and $\epsilon = (T - T_c)/T_c$. The magnitude of α_{xy}^{sc} is seen to depend on one quantity only, the Ginzburg-Landau coherence length $\xi(0)$, so that $\alpha_{xy}^{sc} \sim \xi^2(0)$. To make contact with experiment, we use the relation $H_{c2} \simeq 0.59 \tilde{H}_{c2}(0)$,⁵² and the empirical facts that $H_{c2}(0) = H_{vs}(0)$ and $H_{vs}(0) = H_{c2}^*$ (for a single large circular Fermi surface). This yields a theoretical expression where the only input parameter is H_{c2}^* :

$$Theory : \quad \frac{\alpha_{xy}^{sc}}{H} \simeq 0.4 \frac{k_B e}{h} \frac{1}{H_{c2}^*} \frac{1}{\epsilon} . \quad (7)$$

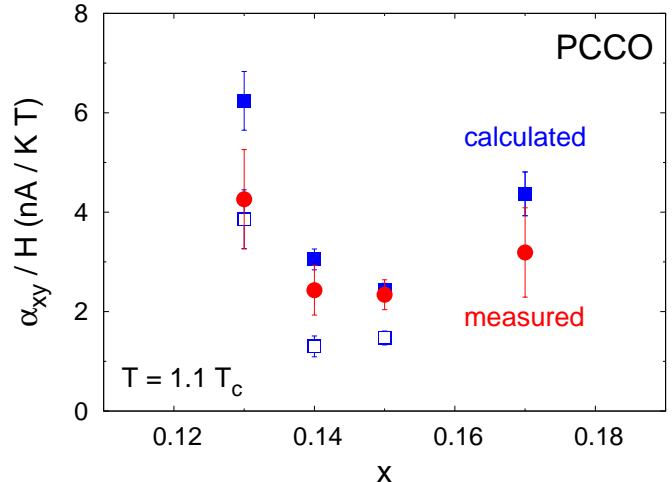


FIG. 15. Comparison of measured (full red circles; Eq. 8) and calculated (full blue squares; Eq. 7) values of α_{xy}^{sc}/H vs x . Open blue squares are the values calculated using $H_{vs}(0)$ instead of H_{c2}^* in Eq. 7. The agreement between experiment and theory, with no adjustable parameter, is remarkable.

The measured value of α_{xy}^{sc} is determined using:

$$Experiment : \quad \frac{\alpha_{xy}^{sc}}{H} \simeq \frac{\nu_0^{sc}}{\rho_{\square}} , \quad (8)$$

where $\nu_0^{sc} = \nu_0 - \nu_0^{qp}$ is the superconducting Nernst coefficient in the $H \rightarrow 0$ limit (Fig. 12), and $\rho_{\square} = \rho/s$, in terms of the zero-field electrical resistivity ρ (Fig. 2) and the interlayer separation $s = 6.1$ Å.

In Fig. 15, we plot the theoretical and experimental values of α_{xy}^{sc}/H at $\epsilon = 0.1$, using the values of H_{c2}^* given in Table I and the measured values of ν_0^{sc} and ρ given in Table II, respectively. The agreement between theory and experiment is remarkable. Although a number of factors not considered here (*e.g.* s -wave vs d -wave) could alter this quantitative agreement somewhat, it is nevertheless evident that Gaussian theory can reliably explain not only the magnitude of N_{sc} in PCCO, but also its detailed doping dependence. In particular, it shows that there is no qualitative difference between the superconducting fluctuations of the underdoped regime relative to the overdoped regime. The fluctuations are Gaussian everywhere, meaning that the superconducting order parameter fluctuates in both amplitude and phase in the same way across the phase diagram. This therefore rules out the long-held notion that phase fluctuations play a special role in underdoped cuprates.²

2. Field dependence

One may ask whether the fluctuations at high field might be different from those close to $H = 0$ that were considered in the previous section. In the conventional

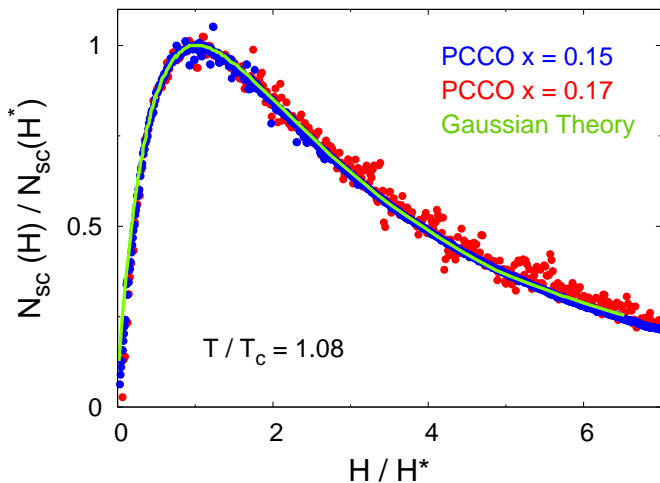


FIG. 16. Superconducting Nernst signal of PCCO in the absence of FSR, plotted as a function of magnetic field for the overdoped ($x = 0.17$; red) and optimally doped ($x = 0.15$; blue) samples, measured at $T = 1.08 T_c$ in both cases. The data are compared to the theoretical calculation of α_{xy}^{sc} (green curve, from ref. 20). For both experimental data and calculated curve, the x axis is normalized by the peak field H^* and the y axis is normalized by the magnitude of the superconducting Nernst signal at H^* . The agreement between theory and experiment is excellent.

superconductor $\text{Nb}_x\text{Si}_{1-x}$, direct comparison²⁰ of the calculated α_{xy}^{sc} and the measured N_{sc} (refs. 16 and 17) showed detailed quantitative agreement, validating the theory of Gaussian fluctuations at arbitrary H .

In Fig. 16, we reproduce the calculated curve of α_{xy}^{sc} vs H at $T = 1.08 T_c$.²⁰ It shows the characteristic rise and fall, with a peak at some field H^* . The curve is normalized at H^* for both axes, allowing us to compare with our data for N_{sc} vs H , normalized in the same way. In Fig. 16, we perform this comparison for the two dopings at which the Fermi surface is not reconstructed, namely $x = 0.15$ and $x = 0.17$. The data are seen to be in perfect agreement with the theoretical curve, for both dopings. This shows that the theory of Gaussian fluctuations continues to be valid in PCCO well beyond the limit of small fields.

We conclude that all aspects of our data in PCCO agree with the theory of Gaussian fluctuations.

Before moving on to the next section, let us comment on the behavior of H^* close to T_c . At all dopings, we see that H^* deviates from its $\ln(T/T_c)$ dependence as $T \rightarrow T_c$, in such a way that H^* saturates to a non-zero value at $T = T_c$, or $\epsilon = 0$ (Fig. 10). This is a reflection of the fact that the initial rise in N_{sc} vs H never becomes infinitely rapid, even at $\epsilon = 0$ (Fig. 8, right panels). As can be seen in Fig. 12, the initial slope ν_0 does not diverge as $T \rightarrow T_c$, for any doping. On the contrary, ν_0 saturates to a constant value below $\epsilon \simeq 0.1$. This saturation is entirely expected on theoretical grounds, since $\nu \sim \alpha_{xy}^{sc}/\sigma$

is the ratio of two coefficients that both diverge in the same way as $\epsilon \rightarrow 0$. Indeed, just as $\alpha_{xy}^{sc} \propto 1/\epsilon$ (Eq. 6), so is $\sigma \propto 1/\epsilon$.⁵²

D. Comparison with hole-doped cuprates

We have shown that in the electron-doped cuprate PCCO the superconducting fluctuations are Gaussian throughout the doping phase diagram, ruling out phase fluctuations as a mechanism for the T_c dome, and superconductivity weakens as soon as Fermi-surface reconstruction sets in, below a critical doping x_c . The origin of the T_c dome is therefore an underlying growth in the gap Δ_0 with decreasing x , curtailed by the onset of a competing phase. This is why the dome is centered around x_c . In this section, we investigate to what extent a similar scenario applies to hole-doped cuprates.

1. FSR and the origin of the T_c dome

The first thing to note is that hole-doped cuprates also undergo a FSR below some critical doping p_c .^{45,46} This was revealed unambiguously by the discovery of low-frequency quantum oscillations in YBCO,⁵⁴ shown to come from a small electron-like pocket in the Fermi surface of underdoped YBCO, because of the large negative Hall coefficient R_H at low temperature.⁵⁵ In the normal state, once superconductivity has been removed by application of a large magnetic field, the electron pocket is seen to persist as a function of doping up to at least $p = 0.15$.⁵⁶ Given that hole-doped cuprates above $p \simeq 0.25$ are known to have a single large hole-like Fermi surface^{37,57,58} (very similar to that of electron-doped cuprates at high x), the FSR in the normal state at $T = 0$ must take place at a critical doping p_c such that $0.15 < p_c < 0.25$.

In YBCO, the onset of this FSR upon cooling is rather gradual, as it is in PCCO, and it may be said to occur at the temperature T_{\max} below which $R_H(T)$ starts to fall towards negative values.⁵⁶ The fact that the T_{\max} line and the T_c line cross where the latter peaks is strong evidence that the drop of T_c on the underdoped side is linked to the FSR.^{31,56}

In YBCO, the FSR does not cause an upturn in $\rho(T)$, although it does in other hole-doped cuprates, like $\text{La}_{2-x-y}\text{Nd}_y\text{Sr}_x\text{CuO}_4$ (Nd-LSCO).^{60,61} Whether FSR produces an upturn or a downturn depends on the relative strength of inelastic and elastic scattering. In the iron-based superconductor BaFe_2As_2 , for example, the FSR caused by the onset of antiferromagnetic order at T_N yields an upturn in $\rho(T)$ if the material is doped with Co and a downturn if doped with K.⁶² In case of a downturn, we use the inflection point in $\rho(T)$, at a temperature T_x , as the signature of the FSR. In K-doped BaFe_2As_2 , $T_x \simeq T_N$. Ando and co-workers have performed extensive

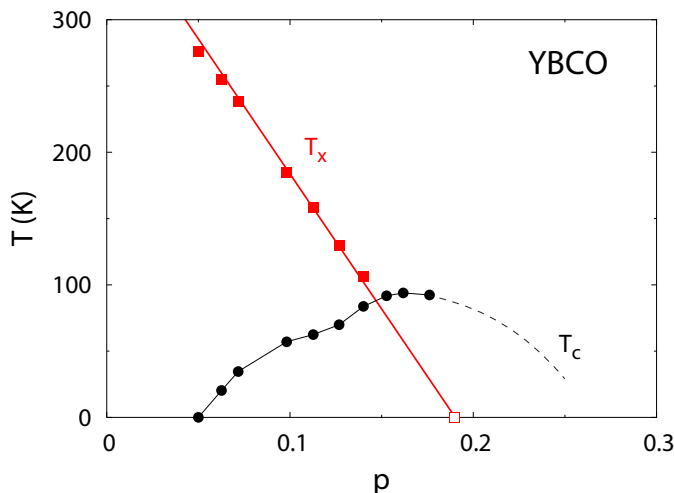


FIG. 17. Temperature-doping phase diagram of YBCO, showing the superconducting dome delineated by the zero-field critical temperature T_c (black circles). Also shown is T_x (red squares), the temperature at which the a -axis resistivity $\rho_a(T)$ has an inflection point.⁵⁹ The red line is a linear fit to the T_x data, extrapolated to $T = 0$ (open square). The corresponding doping, $p_c \simeq 0.19$, is the quantum critical point below which the Fermi-surface reconstruction onsets, consistent with the critical doping where the normal-state Hall coefficient R_H at $T \rightarrow 0$ changes sign, from positive above $p \simeq 0.25$ to negative below $p = 0.15$.⁵⁶

measurements of the a -axis resistivity $\rho_a(T)$ in YBCO,⁵⁹ we reproduce their data for T_x vs p in Fig. 17.

The resulting temperature-doping phase diagram for YBCO is similar to that of PCCO (Fig. 2), in the sense that the onset of FSR, at T_x or T_{\min} , extrapolates to a critical point at $T = 0$ which lies just above optimal doping (where T_c peaks). In YBCO, T_x extrapolates to $p \simeq 0.19$, in agreement with the interval imposed by Fermi-surface measurements. So the origin of the T_c dome in hole-doped cuprates appears to be fundamentally the same as in electron-doped cuprates, namely phase competition and FSR below a quantum critical point located inside the dome. Note, however, that the competing order itself may be different.

2. Critical field H_{c2} and critical doping p_c

To investigate the comparison further, we examine the doping dependence of critical fields in hole-doped cuprates. The upper critical field H_{c2} was recently determined by thermal conductivity measurements in YBCO, $\text{YBa}_2\text{Cu}_4\text{O}_8$ and $\text{Tl}_2\text{Ba}_2\text{CuO}_6$ (Tl-2201).³¹ The data revealed that $H_{c2} = H_{vs}(0)$, as confirmed here in PCCO at $x = 0.15$. Using high-field measurements of $H_{vs}(T)$, the complete doping dependence of H_{c2} was reported; the data are reproduced in Fig. 18. We see that H_{c2} vs p exhibits two peaks, pointing to two underlying quantum critical points, possibly associated with the onset of two

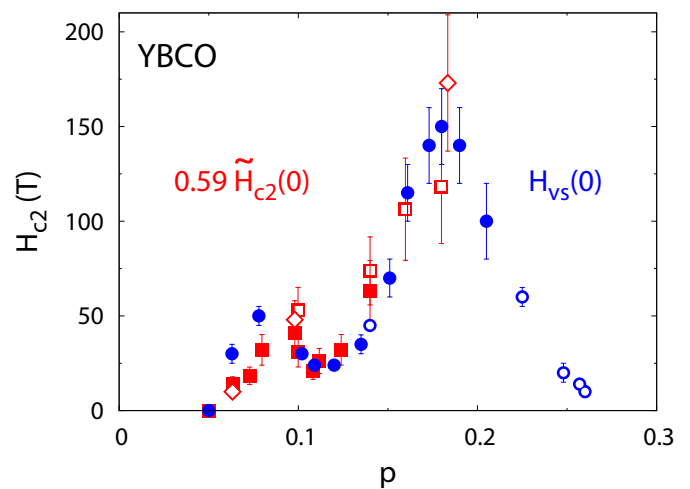


FIG. 18. Upper critical field H_{c2} in the hole-doped cuprate YBCO, obtained in two different ways. First, directly from resistive measurements of $H_{vs}(T)$ at low temperature in high magnetic fields, extrapolated to $T = 0$, giving $H_{c2} = H_{vs}(0)$ (red symbols).³¹ The open circles are for $\text{YBa}_2\text{Cu}_4\text{O}_8$ ($p = 0.14$) and Tl-2201 ($p > 0.21$).³¹ Second, we plot $0.59 \tilde{H}_{c2}(0)$ (blue symbols), with $\tilde{H}_{c2}(0) = \Phi_0/2\pi\xi^2(0)$, where the coherence length $\xi(0)$ is obtained from Gaussian Aslamazov-Larkin theory applied above T_c to either the conductivity (full squares from ref. 63, open squares from ref. 64) or the magnetization (open diamonds, from ref. 65). The agreement between the two ways of determining H_{c2} is remarkable.

distinct competing phases.³¹ Here we focus on the higher peak, at $p_c = 0.18$. Starting from high doping, we see that H_{c2} rises from zero at $p \simeq 0.27$ up to $H_{c2} = 150 \pm 20$ T at p_c . In this overdoped regime, the ratio H_{c2}/T_c^2 is roughly constant (Fig. 14), showing that the growth in the gap magnitude Δ_0 controls the rise of T_c and H_{c2} , as we found in overdoped PCCO.

Moreover, the value of the ratio is roughly the same in both hole-doped and electron-doped materials, namely $H_{c2}/T_c^2 \simeq 18$ mT/K² (Fig. 14). This may be somewhat coincidental, since H_{c2} depends on the Fermi velocity v_F and the mean free path l (see Appendix), and these parameters may not be identical in YBCO or Tl-2201 and in PCCO, but it nevertheless explains why H_{c2} in PCCO is so much smaller than in YBCO. Indeed, a factor 5 smaller T_c , from $T_c \simeq 100$ K to $T_c \simeq 20$ K, will yield a factor 25 smaller H_{c2} , from $H_{c2} \simeq 150$ T to $H_{c2} \simeq 6$ T, as roughly observed.

Below $p_c = 0.18$, H_{c2} in YBCO drops by a factor 6, down to $H_{c2} = 24 \pm 2$ T at $p = 0.11$.³¹ The condensation energy δE drops by a factor 20, and the ratio $\delta E/T_c^2$ by a factor 8.³¹ This dramatic suppression of superconductivity is attributed to phase competition, involving the onset of charge order.^{31,66} The value of p_c is consistent with the onset of FSR at $T = 0$, as estimated from R_H or from T_x (Fig. 17).

As seen in Fig. 14, the FSR in YBCO causes a *drop*

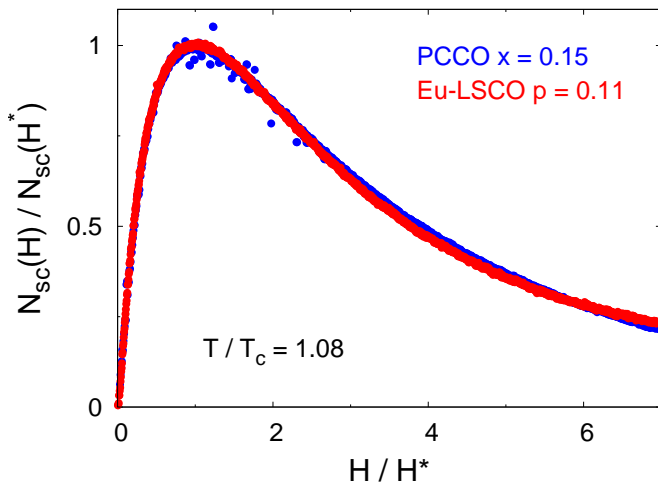


FIG. 19. Superconducting Nernst signal of electron-doped PCCO (blue) and hole-doped Eu-LSCO (red), measured at $T = 1.08 T_c$ in both cases, for dopings as indicated. The x axis is normalized by the peak field H^* and the y axis is normalized by the magnitude of the superconducting Nernst signal at H^* . The agreement between the two is excellent, showing that the nature of superconducting fluctuations is basically the same whether cuprates are electron-doped or hole-doped, and both are well described by Gaussian theory (see Fig. 16).

in the ratio H_{c2}/T_c^2 , at least initially, whereas the FSR in PCCO causes an increase. The difference is likely to come at least in part from the different effect of FSR on the Fermi velocity v_F . We mentioned that in PCCO v_F undergoes a large change, by an order of magnitude. In YBCO, however, quantum oscillation measurements give only a factor 2 drop in v_F upon FSR, if we compare overdoped Tl-2201 ($p \simeq 0.25$; ref. 67) and underdoped YBCO ($p = 0.11$; ref. 68). The difference could also come from a different topology of the reconstructed Fermi surface. While the electron pocket in the Fermi surface of PCCO is located at $(\pi, 0)$, where the d -wave gap is maximal, the electron pocket in the Fermi surface of YBCO is quite possibly located at $(\pi/2, \pi/2)$, where the gap goes to zero.

3. Superconducting fluctuations

Superconducting fluctuations in hole-doped cuprates have been studied extensively, mostly via measurements of the electrical resistivity, the magnetization and the Nernst effect. An exhaustive study of paraconductivity in YBCO by Ando and co-workers showed that Gaussian theory (Aslamazov-Larkin) accounts well for the effect of superconducting fluctuations above T_c .⁶³ From their analysis, justified in light of other works,^{69,70} they extract a coherence length ξ as a function of doping, and use it to estimate the critical field $\tilde{H}_{c2}(0) = \Phi_0/2\pi\xi^2$. A

later study by Rullier-Albenque and co-workers, based on a similar analysis, yielded $\tilde{H}_{c2}(0)$ values in good agreement with the earlier work, at least for $p < 0.15$.⁶⁴ For $p > 0.15$, the use of higher magnetic fields in the more recent study may have improved the estimate of the underlying normal-state magneto-resistance.⁷¹ In Fig. 18, we plot $H_{c2} \simeq 0.59 \tilde{H}_{c2}(0)$ obtained from the data of both groups on the H - p diagram of YBCO. We also plot H_{c2} obtained from recent magnetization measurements analyzed using Gaussian theory to extract the coherence length.⁶⁵ The agreement between H_{c2} obtained from direct measurements of H_{vs} at low temperature and high fields and H_{c2} encoded in the superconducting fluctuations above T_c is remarkable. In particular, it exhibits the same two-peak structure and the same six-fold drop between $p = 0.18$ and $p = 0.11$.

The Nernst response of hole-doped cuprates was recently revisited and shown to be in excellent agreement with the Gaussian theory.²¹ Figs. 19 and 20 show how the behavior of N_{sc} in the hole-doped cuprate Eu-LSCO is qualitatively identical to that of electron-doped PCCO, as a function of field and temperature, respectively.

We conclude that superconducting fluctuations in cuprates are well described by Gaussian theory, whether in electron-doped or hole-doped materials, whether in the overdoped or underdoped regimes. This may no longer be true at very low doping, *i.e.* $p < 0.08$ and $x < 0.13$, when close to the Mott insulator, but otherwise it appears that using the standard theory is a reliable way to extract fundamental information about superconductivity in the cuprates. Previous analyses of paraconductivity and diamagnetism in hole-doped cuprates like YBCO have also found Gaussian theory to be a good description of the superconducting fluctuations.^{70,72,73}

VI. SUMMARY

Our measurements of the Nernst effect in the electron-doped cuprate superconductor PCCO elucidate the nature of superconducting fluctuations above the critical temperature T_c . We find that the superconducting Nernst signal N_{sc} is in qualitative and quantitative agreement with the theory of Gaussian fluctuations in dirty 2D superconductors,^{18–20} at all dopings. Indeed, $N_{sc}(T, H)$ in PCCO behaves as it does in the conventional superconductor $\text{Nb}_x\text{Si}_{1-x}$.^{16,17} This implies that there is nothing unusual about the fluctuations in PCCO, even in the underdoped regime. There is no evidence of any vortex-like excitations, or pre-formed pairs, above T_c .

The characteristic magnetic field scale H_{c2}^* extracted directly from the data displays a dome-like dependence on doping, showing the same x dependence as T_c , both peaking at $x = 0.15$. This shows that the pairing strength drops below optimal doping. This weakening of superconductivity occurs at the critical doping where the Fermi surface undergoes a reconstruction.²² The scenario is therefore one of competition with another or-

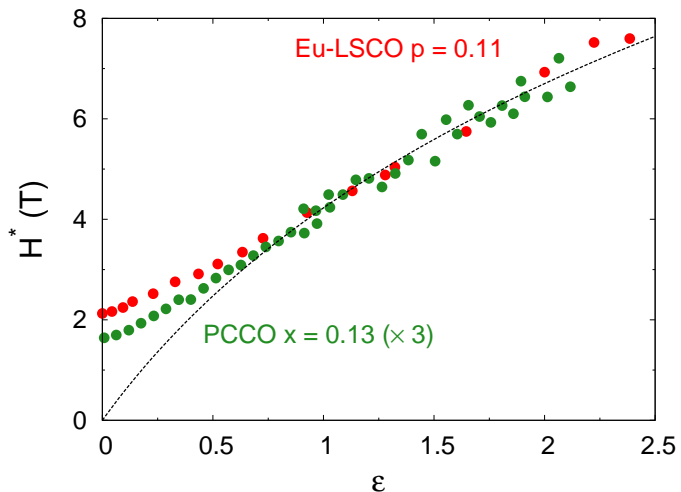


FIG. 20. Comparison of H^* vs ϵ for electron-doped PCCO (green circles) and hole-doped Eu-LSCO (red circles), at dopings as indicated. The PCCO data are multiplied by a factor 3. The black dotted line is a fit of the data to the function $H^* = H_{c2}^* \ln(T/T_c)$ (Eq. 4).

dered phase that causes both the Fermi-surface reconstruction and the suppression of T_c and H_{c2}^* .^{31,45,46,62,74} Most likely, the competing phase in PCCO is antiferromagnetic order.²³

The emerging picture for PCCO is therefore the same as in quasi-1D organic superconductors, where an antiferromagnetic quantum critical point is clearly the organizing principle.⁴⁸ The magnetic fluctuations cause d -wave pairing while the magnetic order competes with superconductivity. The first effect increases T_c , the second decreases T_c , and the two together produce the T_c dome that straddles the critical point. By analogy, we infer that the same two mechanisms are at play in PCCO, supporting the case for magnetically-mediated pairing in cuprates.²⁹ This is also the likely scenario in iron-based superconductors.⁴⁹

A comparison of our Nernst data on PCCO with corresponding data reported for the hole-doped cuprate Eu-LSCO (ref. 21) reveals a strong similarity. We conclude that superconducting fluctuations in hole-doped cuprates are not significantly different. This validates the use of Gaussian fluctuation theory in previous analyses of paraconductivity data in underdoped YBCO, which yielded an estimate of H_{c2} that decreases rapidly with underdoping,^{63,64} in good agreement with direct measurements of H_{c2} in YBCO.³¹ These studies establish that the dominant mechanism for the T_c dome in hole-doped cuprates is also phase competition. Interestingly, in this case the competition does not seem to come from magnetic order, but appears to involve charge order.^{66,75}

We conclude that fluctuations in the phase of the

superconducting order parameter, long invoked as the mechanism responsible for the T_c dome of cuprates, do not in fact play a prominent role in the origin of the T_c dome of either electron-doped or hole-doped cuprates.

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Appendix A: Estimates of H_{c2} in PCCO

Quantum oscillations in the c -axis resistivity of overdoped NCCO at $x = 0.17$ have a frequency $F = 10960$ T and a cyclotron mass $m^* = 2.3 m_0$.⁴³ Using the Onsager relation:

$$F = \frac{\Phi_0}{2\pi^2} A_k \quad (\text{A1})$$

with $\Phi_0 = 2.07 \times 10^{-15}$ Wb, we extract the corresponding values for the Fermi wavevector of the large Fermi surface $k_F = 0.58 \text{ \AA}^{-1}$ and the Fermi velocity $v_F = \hbar k_F / m^* = 2.9 \times 10^5$ m/s. Using the following relation for the coherence length of a clean superconductor:

$$\xi_0 = \frac{\hbar v_F}{a \Delta_0} \quad (\text{A2})$$

with $a = 1.5$ and $\Delta_0 = 2.14 k_B T_c$ for a d -wave state, we extract the coherence length $\xi_0 = 51$ nm. Disorder affects the clean limit coherence length if the mean free path ℓ is comparable to ξ_0 . We estimate the mean free path via the relation:

$$\ell = \frac{\hbar s}{e^2 \rho_0 k_F} \quad (\text{A3})$$

where ρ_0 is the residual resistivity. Using the inter-layer distance $s = 6.1 \text{ \AA}$ and $\rho_0 = 17.5 \mu\Omega \text{ cm}$ (Fig. 1), we get $\ell = 15.5$ nm. Using Pippard's relation for the coherence length of dirty superconductors:

$$\frac{1}{\xi} = \frac{1}{\xi_0} + \frac{1}{\ell}, \quad (\text{A4})$$

we arrive at a coherence length of $\xi = 11.9$ nm. We use it to calculate the upper critical field:

$$H_{c2} = \frac{\Phi_0}{2\pi \xi^2} = 2.3 \text{ T} \quad (\text{A5})$$

The result is close to our measured value $H_{vs}(T \rightarrow 0) = 3.0 \pm 0.2$ T (Table I).

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