

#### André-Marie Tremblay



CENTRE DE RECHERCHE SUR LES PROPRIÉTÉS ÉLECTRONIQUES DE MATÉRIAUX AVANCÉS



CIAR The Canadian Institute for Advanced Research

#### **Sponsors:**





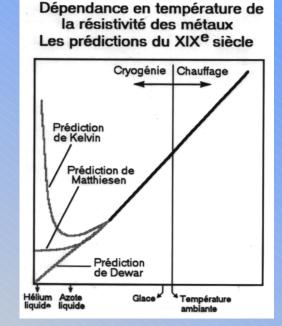




## A brief history

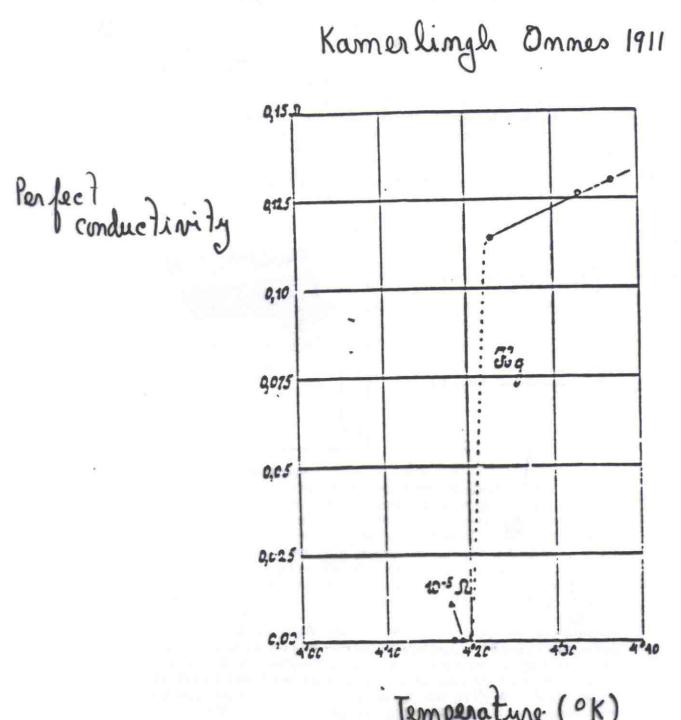
#### •What happens to Ohm's law at T=0?

Electrons stop (Kelvin)They travel without resistance (Dewar)



## •Kamerlingh Onnes in 1911 has just liquified Helium so that he can reach T = 4K

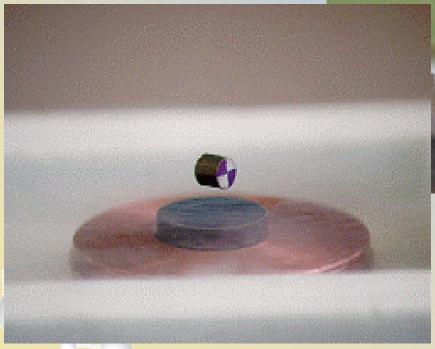




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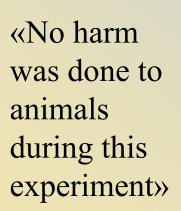
#### Perfect diamagnetism (Shielding of magnetic field)

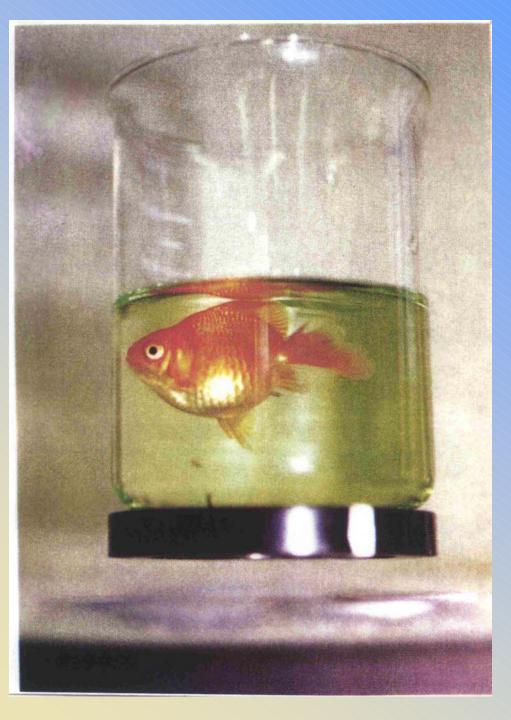
(Meissner effect)











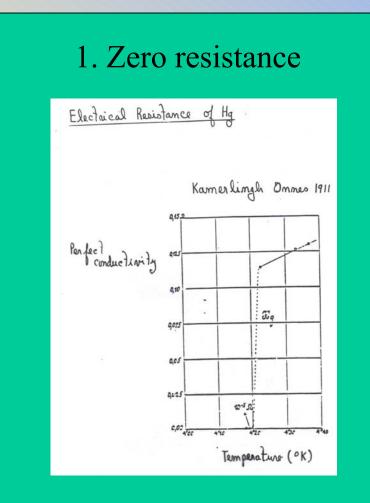


« Experimentalists could have been harmed if this experiment had failed. »





For a material to be superconducting, it must posses the following *two* properties:



#### 2. Perfect diamagnetism



## The old

•Looking for an explanation: a series of failures and a triumph

- During 46 years, from 1911 to 1957, superconductivity remains unexplained.
- In 1950 it is the most important problem in theoretical Physics.
- Richard Feynman: « No one is smart enough to explain it »





## Feynman



## Heisenberg

#### Bohr



NIELS BOHR (1885-1962) introduced the idea that the electror moved about the nucleus in well-defined orbits. This photograph was made in 1922, nine years after the publication of his paper Einstein





## The old

• BCS theory (1957)

Quantum behavior at the macroscopic scale

#### Leon Cooper



John Bardeen\*

**Robert** Schrieffer

Nobel Prize: 1972

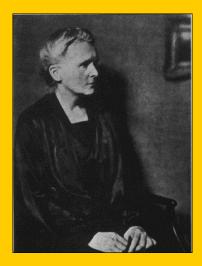
•John Bardeen :

•The only person to receive two Nobel prizes in Physics !!!



#### **Invention : TRANSISTOR!**

#### W. Shockley, J. Bardeen, W.H. Brattain



#### **Marie Curie:**

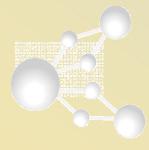
1903 Physics with H.A. Becquerel

1911 Chemistry (alone)



Resistance of a normal metal

# 



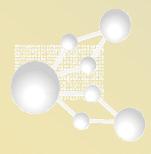


#### Ingredient #1

#### Attraction and formation of Cooper pairs

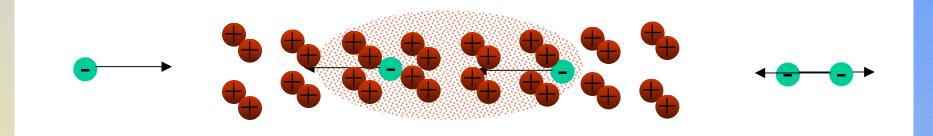


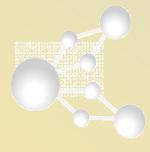






Cooper pairs or Prelude to supraconductivity

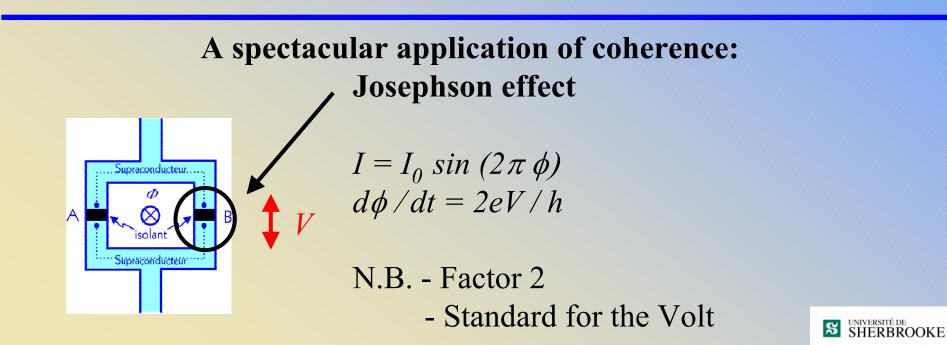




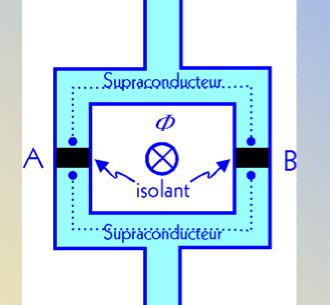




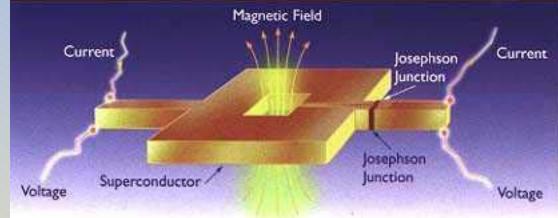
Cooper form "bosons" that all "condense" in the same quantum state. Their wave functions all have the same quantum phase. Electrons are in a single coherent state (Like in a big atom!).



#### **Detection of weak magnetic fields**



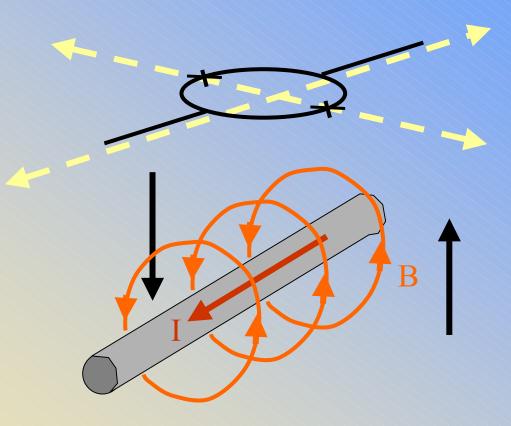
A SQUID (Superconducting QUantum Interference Device) is the most sensitive type of detector known to science. Consisting of a superconducting loop with two Josephson junctions, SQUIDs are used to measure magnetic fields.

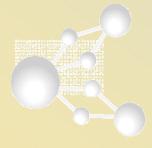


**SQUID "Superconducting Quantum Interference Device"** A magnetic field modifies the phase of matter waves. By detecting current oscillations, one can detect very weak magnetic fields.



#### **Detection of weak magnetic fields : Scanning SQUID microscope**

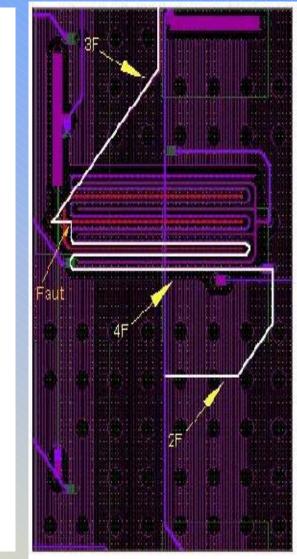


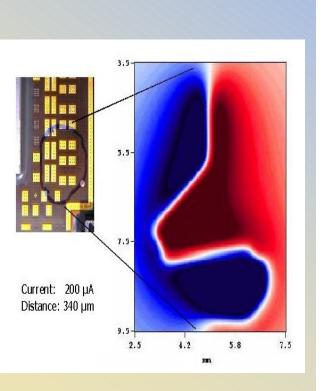


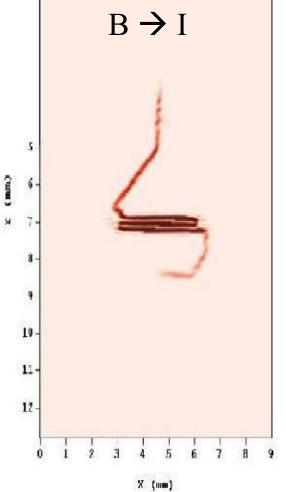


#### **Scanning SQUID microscope**

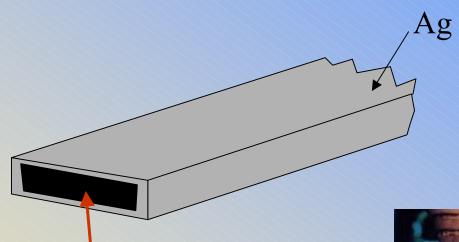








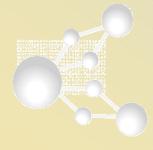
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#### **Transmission lines**

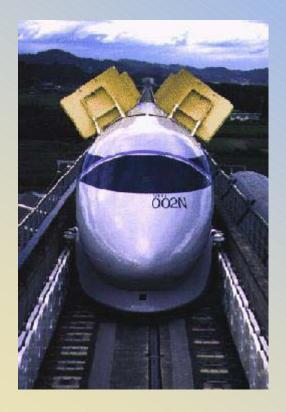
#### BiSrCaCuO





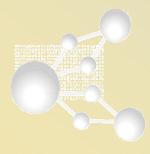








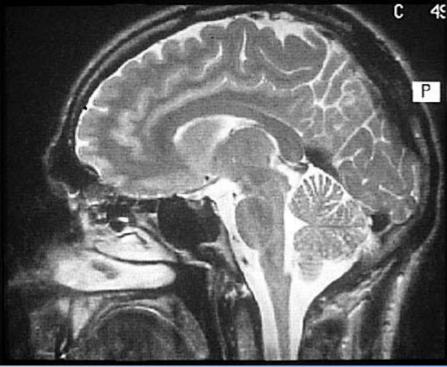


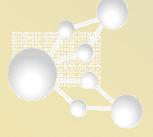




#### Magnetic resonance imaging (MRI)



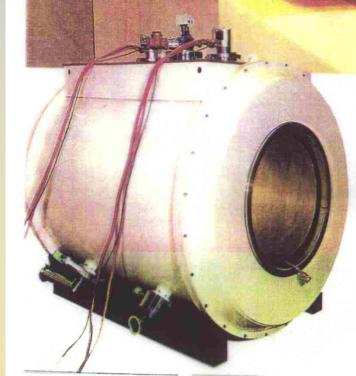




### **Research :**

Higher fields
 New detectors (SQUID)





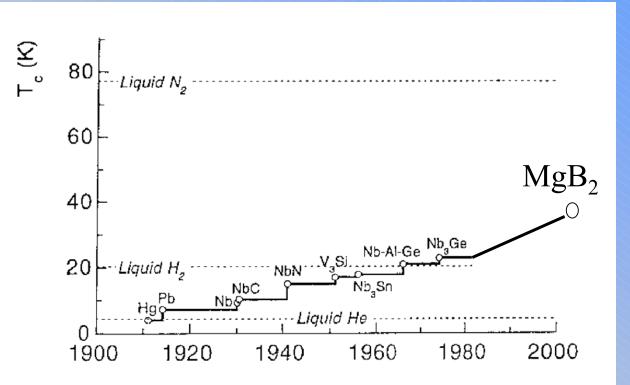
By the end of 1984 the three manufacturing plants had between them produced more than 400 magnets for clinical MR imaging and spectroscopy applications at permanent hospital sites, many times more than the total of all other producers worldwide Oxford is far ahead as the only manufacturer to have succeeded in making superconducting magnets in any quantity, and this in-depth experience helps to maintain the three companies' world market share at an extremely high level

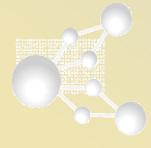
The customers for Oxford's MR imaging magnets include all the world's major manufacturers involved in the development of whole-body diagnostic scanners. They are international companies who are the leaders in medical



"Psychological barrier": 77K

Liquid Nitrogen (The most abundant molecule in air!)





## The old

•Vanishing act

- As early as 1970 many researchers had left the field
- Superconductivity is one of the best understood phenomena in all of Physics!
- In 1969, R.D. Parks edits a two volume treatise entitled "Superconductivity"
- One of the authors writing about the book: « It is the last nail in the coffin of Superconductivity"



## The new

1987 : the revolution

- **January 1986:** 

Alex Müller and Georg Bednorz at IBM Zurich discover indications of superconductivity in the system *Ba-La-Cu-O* 

-September 1986 issue of de "Zeitschrift für Physik" -(submitted April 17, 86)

"Possibility of high Tc superconductivity in the Ba-La-Cu-O system"

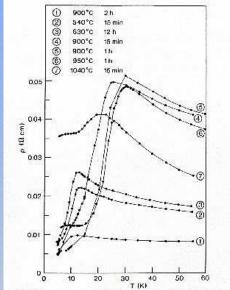
- This article is ignored... for good reasons:
- transition towards R=0 is not sharp
- The Meissner effect is not verified





1986 : Discovery of superconductivity in the La-Ba-Cu-O system  $T_c \sim 30-40K$ 

#### P. Chu's group (Houston) Under high pressure : 50K!!!



J.G. Bednorz and K.A. Müller: Ba-La-Cu-O System

Fig. 2. Low-temperature resistivity of samples with x(Ba) = 1.0, annealed at O<sub>2</sub> partial pressure of 0.2 bar (curve  $\textcircled{}{})$ ) and  $0.2 \times 10^{-4}$  bar (curves  $\textcircled{}{}$ ) to ))

towards the 30 K region. Curves @ and ③, recorded for samples treated at 900 °C, show the occurrence of a shoulder at still lower temperature, more pronounced in curve (6). At annealing temperatures of 1,040 °C, the highly conducting phase has almost vanished. As mentioned in the Introduction, the mixed-valent state of conner is of importance for electron-phonon coupling. Therefore, the concentration of electrons was varied by the Ba/La ratio. A typical curve for a sample with a lower Ba concentration of 0.75 is shown in Fig. 1 (right scale). Its resistivity decreases by at least three orders of magnitude, giving evidence for the bulk being superconducting below 13 K with an onset around 35 K, as shown in Fig. 3, on an expanded temperature scale. The latter figure also shows the influence of the current density, typical for granular compounds.

#### III. Discussion

The resistivity behaviour of our samples, Fig. 1, is qualitatively very similar to the one reported in the Li-Ti-O system, and in superconducting

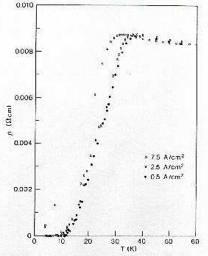
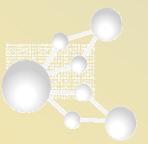


Fig. 3. Low-temperature resistivity of a sample with  $\kappa({\rm Re})=0.75,$  recorded for different current densities

BaPb<sub>1-x</sub>Bi<sub>x</sub>O<sub>3</sub> polycrystalline thin films [5, 18]. Upon cooling from room temperature, the latter exhibit a nearly linear metallic decrease of  $\rho(T)$ , then a logarithmic type of increase, before undergoing the transition to superconductivity. One could, of course, speculate that in our samples a metal-to-metal structural phase transition occurs in one of the phases. The shift in the drop in  $\rho(T)$  with increasing current density (Fig. 3), however, would be hard to explain with such an assumption, while it supports our interpretation that we observe the onsel of superconductivity of percolative nature, as discussed below. In BaPb<sub>1-x</sub>Bi<sub>x</sub>O<sub>1</sub>, the onset of superconductivity has been taken at the resistivity peak [18]. This assumption appears to be valid in percolative systems, i.e., in the thin films [18] consisting of polycrystals with grain boundaries, or when different crystalline phases with interpenetrating grains are present, as found in the Li-Ti-O [5] or in our Ba-La-Cu-O system. The onset can also be due to fluctuations in the superconducting wave functions. We assume one of the Ba-La-Cu-O phases exhibits this behaviour. Therefore, under the above premises, the peak in p(T)at 35 K, observed for an x(Ba)=0.75 (Fig. 1), has

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#### Boston, "Materials Research Society" December 1986

Présentation of Koitchi Kitazawa and Shoji Tanaka from Tokyo convinces everyone.

Madness! These materials are easy to make. In China, India, everywhere everyone is trying.

Loss of sleep. The Nitrogen barrier has become much closer.

#### - 16 February 1987, Houston:

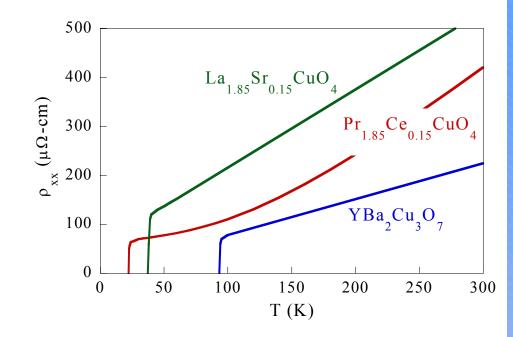
Paul Chu and his group call a press conference to annouce the discovery of *Y-Ba-Cu-O* 

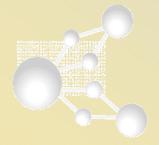
Tc = 93 K

The Nitrogen barrier has been crossed?



#### 1987 : $YBa_2Cu_3O_7$ 93K --- $LN_2 = 77K$ ----Paul Chu : U. of Houston







**New York: March meeting of the American Physical Society.** 

-President Neil Ashcroft, (Cornell) hesitates

18 March 1987...

New York Times title the next day:
"The Woodstock of Physics"

- 3000 people until 3 AM

"They began lining up outside the New York Hilton Sutton Ballroom at 5:30PM for an evening session that would last until 3:00 AM"





The "Woodstock of physics." On March 18, 1987, thousands of physicists crammed a ballroom at the New York Hilton to celebrate the coming of the age of superconductivity.

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(right) Alex Müller, Paul Chu, and Shoji Tanaka, answering questions at the "Woodstock" meeting. Tanaka and Koichi Kitazawa were the first to confirm Bednorz and Müller's discovery, launching a worldwide race to find still better superconductors.

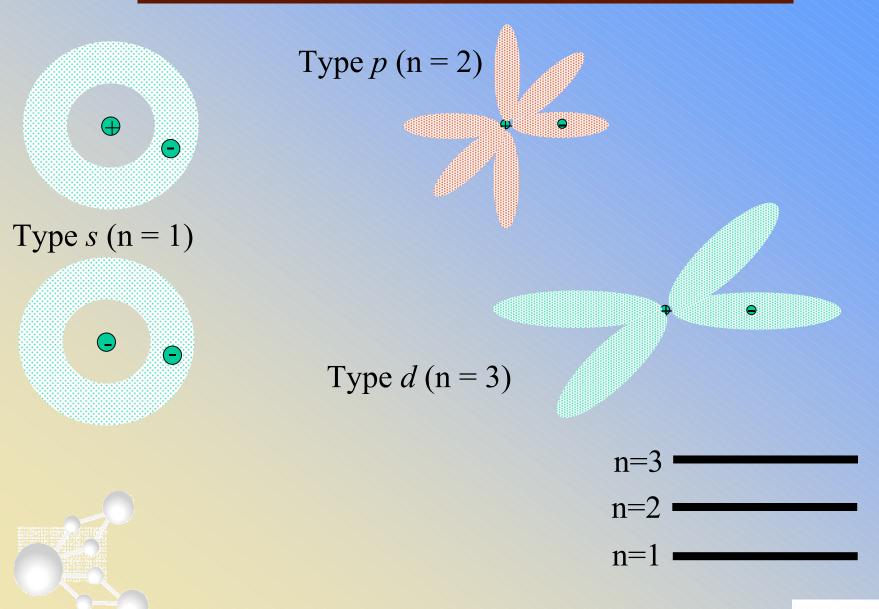
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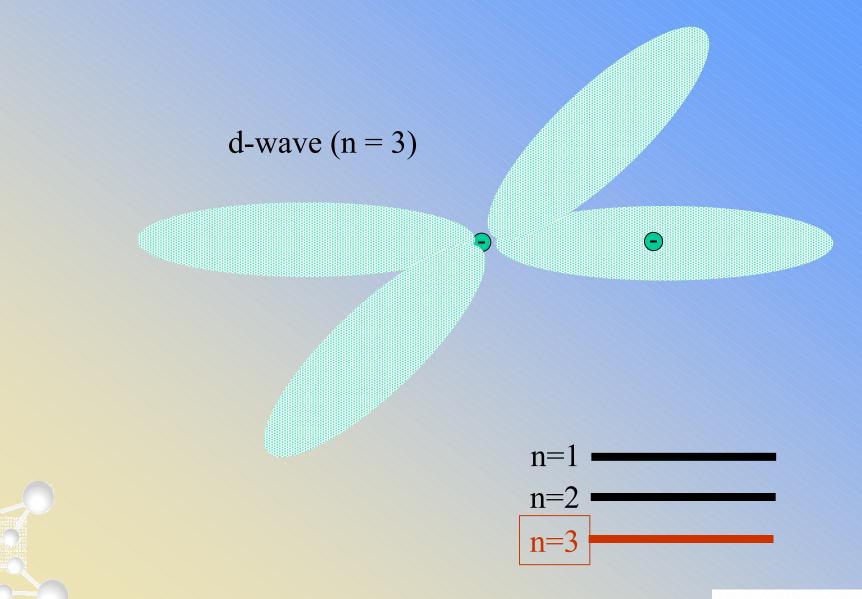


#### Understanding the mechanism --- Where are we?

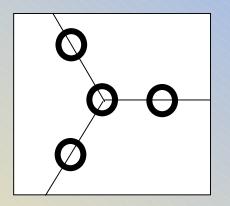


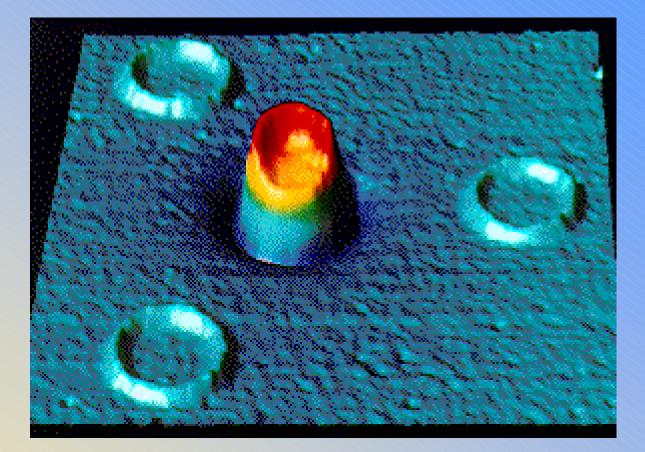


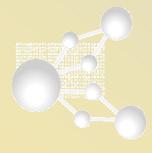














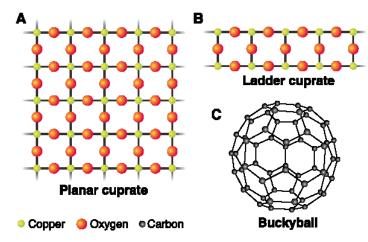


FIG. 1. The structures of superconductors (A) Copper oxide plane, (B) copper oxide ladder, and (C)  $C_{60}$  molecule. Ladders of copper and oxygen atoms, as shown in (B), form spontaneously in some compounds.

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10.00

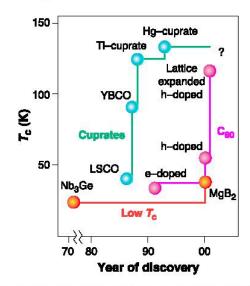


FIG. 2. Head-to-head race,  $T_c$  versus year of discovery for some superconducting materials. Orange, representative low- $T_c$  compounds, which held the  $T_c$  record before cuprates and fullerenes were discovered. Light blue, representative planar cuprates. Magenta, representative fullerenes, with the highest  $T_c$  to date reported in [4].

#### E. Dagotto, cond-mat/0110190

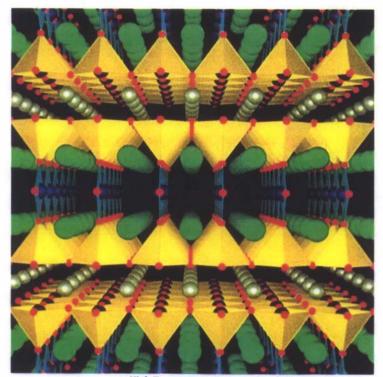


## A more microscopic view

## SCIENTIFIC AMERICAN

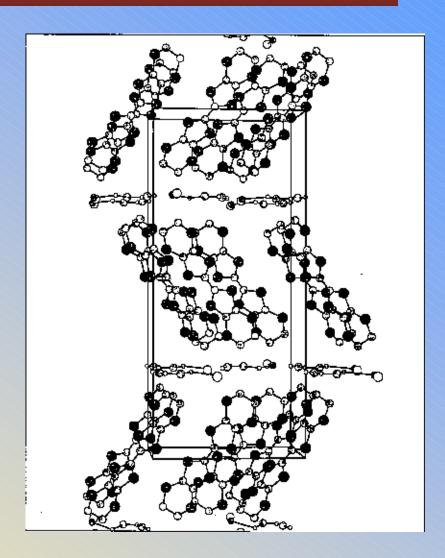
JUNE 1988 \$3.50

How nonsense is deleted from genetic messages. *R<sub>x</sub>* for economic growth: aggressive use of new technology. *Can particle physics test cosmology?* 



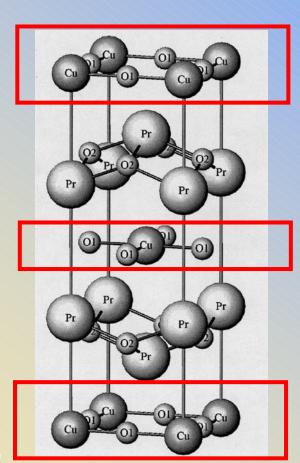
High-Temperature Superconductor belongs to a family of

 $YBa_2Cu_3O_{7-\delta}$ 

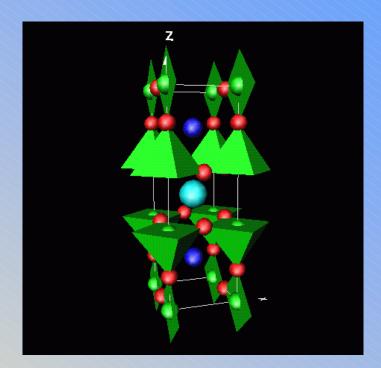






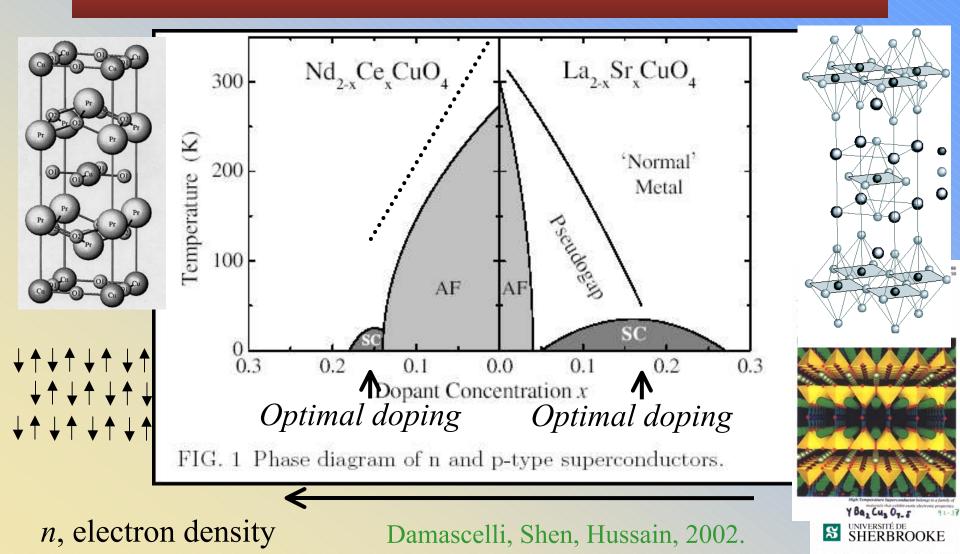








### Phase diagram

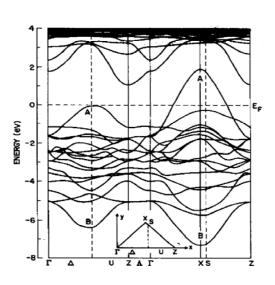


The normal state (T > Tc) of high temperature superconductors cannot be explained in the context of the band theory of metals or any of its extensions.

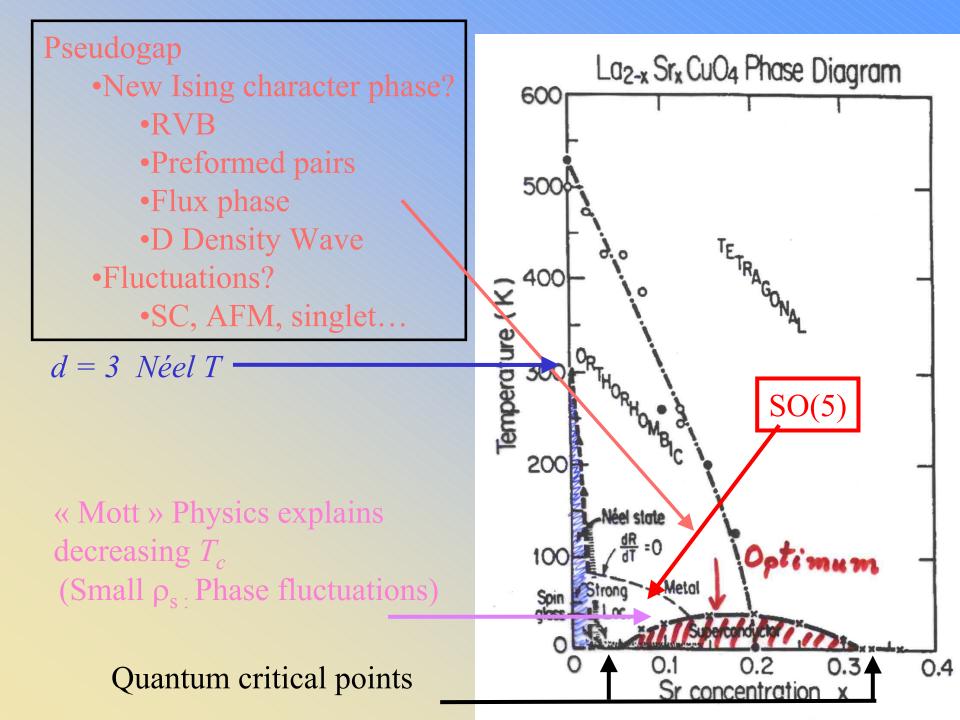
# Two great mysteries:

1. The normal state (pseudogap).

2. The origin of the attraction leading to superconductivity (magnetic instead of phononic?)





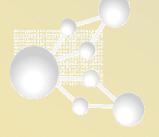


#### Magnetic mechanism

↓ † ↓ † ↓ † ↓ † ↓ **‡ ‡ ‡ ‡ ‡ ‡ ‡** ↓ ↓ † ↓ † ↓ † ↓ †

$$t = T$$

$$t = 0$$





### Why is the high-T<sub>c</sub> problem so difficult?

- I. Standard paradigm
- II. Why is there a problem with standard approaches?
- III. Microscopic model
- IV. Theoretical difficulties
  - (a) Straight numerical approaches
  - (b) Inadequacies of mean-field theory in low dimension
  - (c) Approaching from weak coupling
  - (d) Approaching from strong coupling
  - (e) Phonons
  - (f) Inhomogeneities

### V. Conclusion



### I. Standard Paradigm

Theory of solids

H =Kinetic + Coulomb

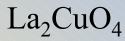
- Many new ideas and concepts needed for progress (Born-Oppenheimer, H-F, Bands...)

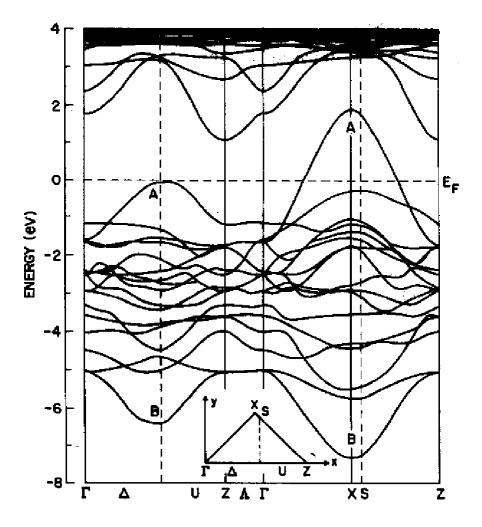
- Successful program
  - Semiconductors, metals and superconductors
  - Magnets
- Is there anything left to do?
  - Unexplained materials: High Tc, Organics, heavy fermions...
  - Strong correlations:
    - strong interactions, low dimension



#### **The standard approaches :**

- A. Quasiparticles, Fermi surface and Fermi liquids
  - LDA (Nobel prize 1998)



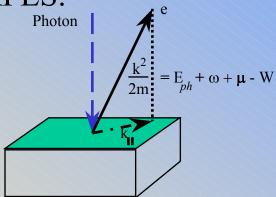


L.F. Mattheiss, Phys. Rev. Lett. 58, 1028 (1987).

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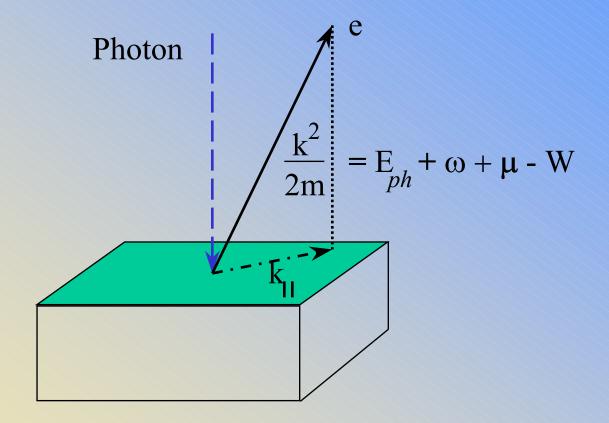
#### **The standard approaches :**

- Matrix elements of H in LDA basis
  - In practice, from general considerations:
    - Short range
    - Single Slater determinant not eigenstate
  - Phase space + Pauli restricts possible scatterings:
    - Quasiparticles *m*<sup>\*</sup>, effective fields,
- «See» the quasiparticles with ARPES:





#### Photoemission





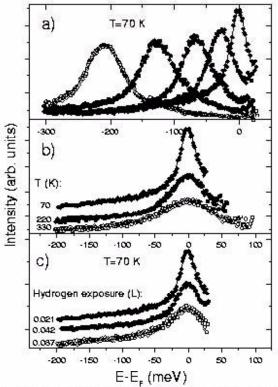


FIG. 2. Spectral intensity as a function of binding energy for constant emission angle, normalized to the experimentally determined Fermi cut-off. Data are symbols, while lines are fits to the Lorentzian peaks with a linear background. The dependence on the binding energy (a), temperature (b), and hydrogen exposure (c) is shown.

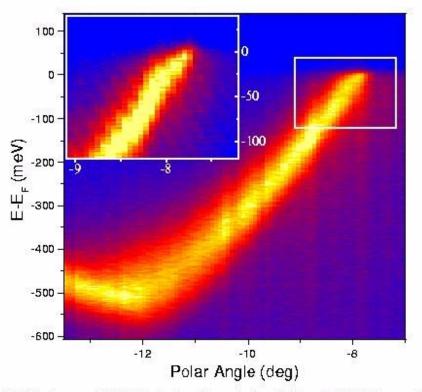


FIG. 1. ARPES intensity plot of the Mo(110) surface recorded along the  $\overline{\Gamma} - \overline{N}$  line of the SBZ at 70 K Shown in the inset is the spectrum of the region around  $k_F$  taken with special attention to the surface cleanliness.

## T. Valla, A. V. Fedorov, P. D. Johnson, and S. L. Hulbert P.R.L. **83**, 2085 (1999).

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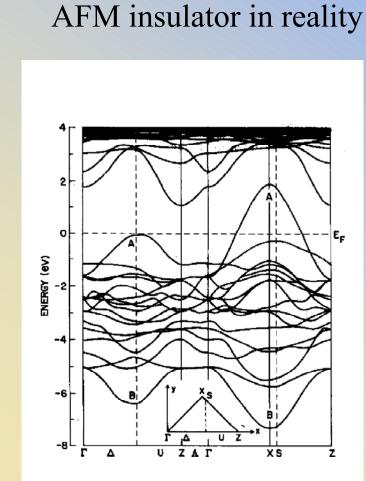
#### **The standard approaches :**

B. Thermodynamics and phase transitions

- Superconducting transition
- C. Heisenberg model and related models
  - Band for *s*-*p*
  - Localized (often) for *d-f* 
    - Only spin degrees of freedom Use symmetry to write *H*

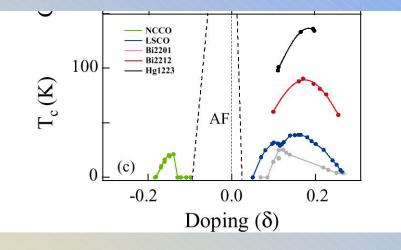


### II. Failure of standard paradigm



Metal according to band

n = 1,





#### Optimally doped BISCCO Normal Superconductor T=127 K T=75 K a) 0.0 $(2) \rightarrow$ 1.0 0.8--0.4 - $\mathsf{k}_{\gamma}\left(\pi/\alpha\right)$ b) c) 0.6 $k_{r} - k(A^{-1})$ к<sub>г</sub>-к (А<sup>-1</sup>) 0.2 -0.1 0.0 -0.1 0.0 0.2 0.4 (4) (5) $k_{x} = 0.74\pi$ T=28 K T=120 K (1)0.2-0.0 (2)'0.0+ 0.0 (3)0.2 0.8 1.0 0.6 0.4 (∕ູ່ອ) -0.2 -ມັ $\mathbf{k}_{\times}$ ( $\pi/a$ ) -0.4 - $(4) \rightarrow$ d e -0.2 0.0 0.2 -0.2 0.2 0.0 $k_{\rm y} \ (\pi/\alpha)$ $k_{\rm c}$ $(\pi/\alpha)$

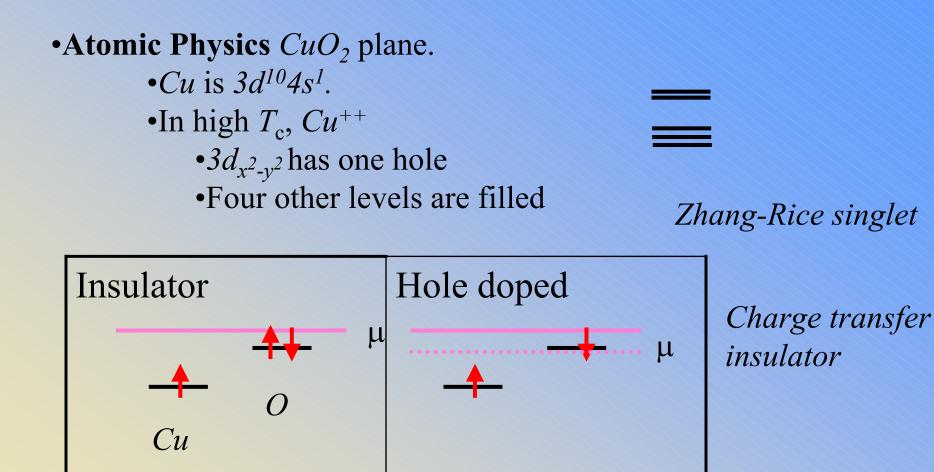
A. V. Fedorov, T. Valla, P. D. Johnson et al. P.R.L. 82, 2179 (1999)

### III. Establishing a microscopic model



 $YBa_2Cu_3O_{7-\delta}$ 



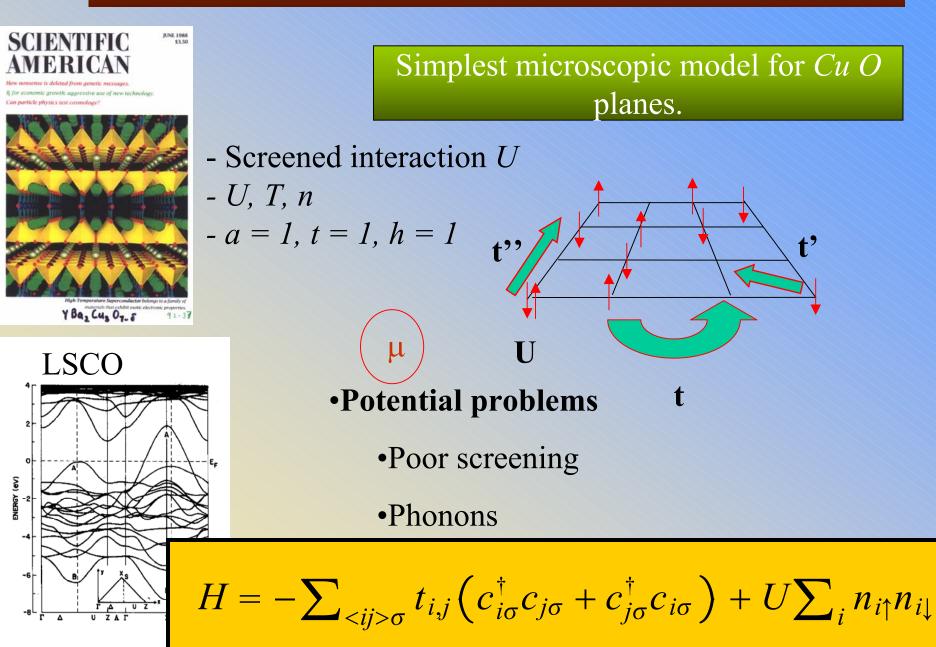


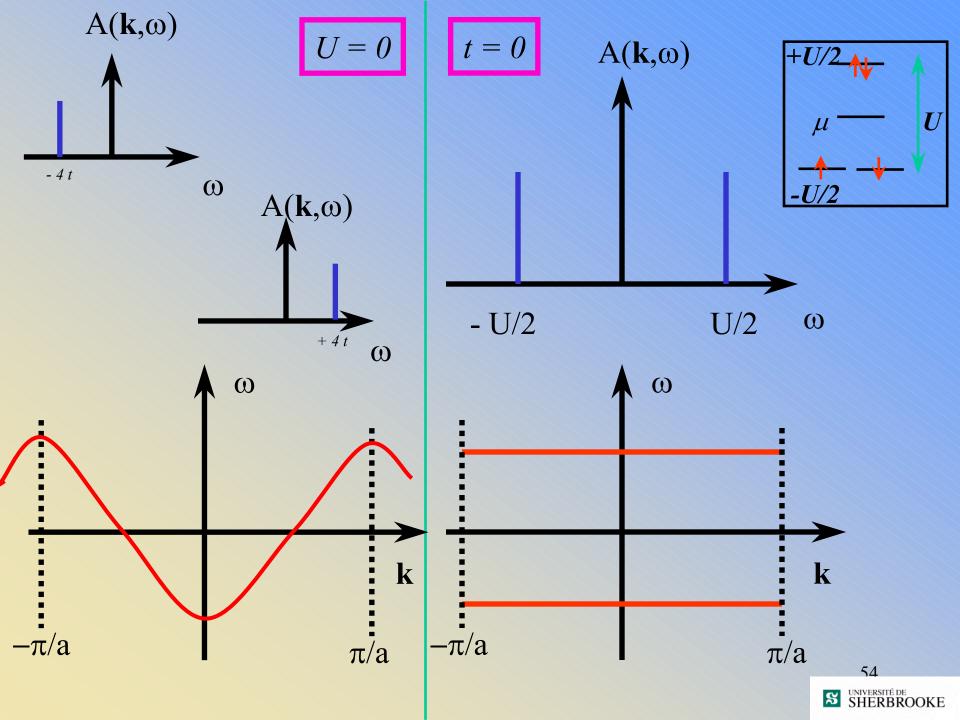
**Electron** doped

μ

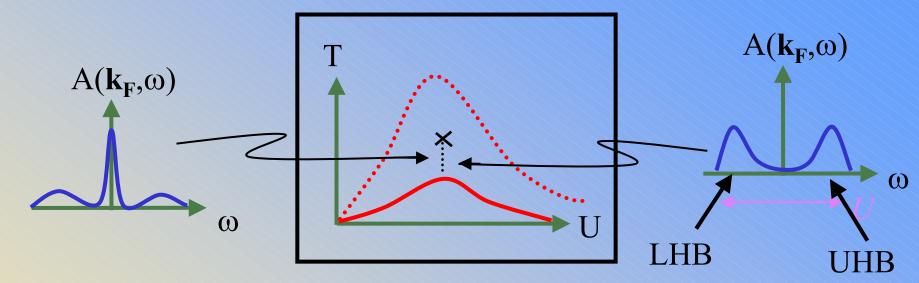


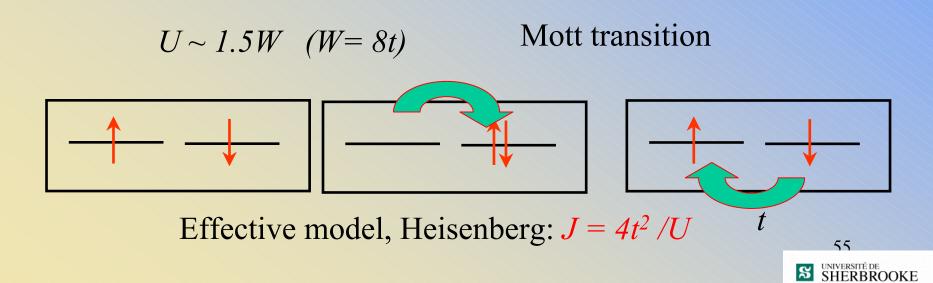
### The « Hubbard model »



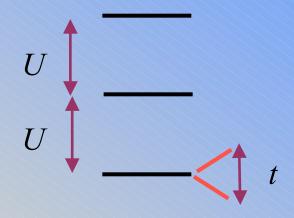


#### *Weak* vs *strong* coupling, *n*=1







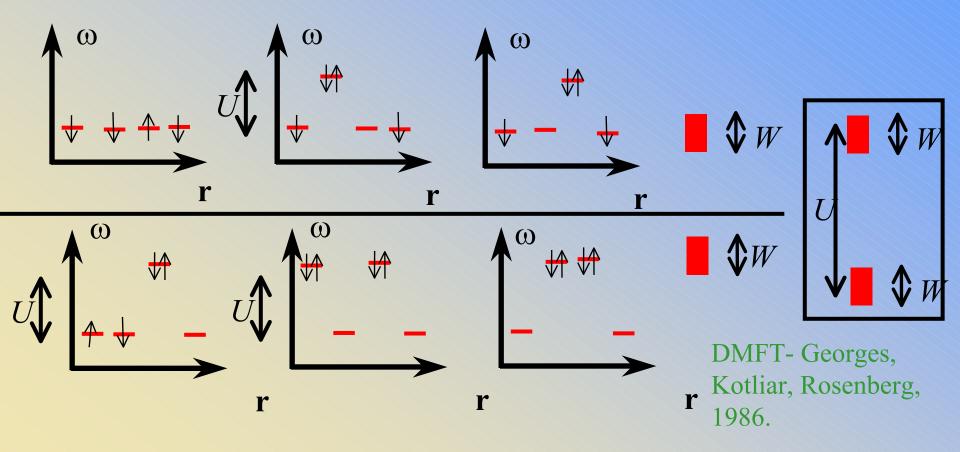


•Number of states :  $3^N$ 



### Upper and lower Hubbard band

#### **Strong on-site repulsion (Mott transition)**







### 

#### **Doped system** : Doping δ

### $\rightarrow$ $\rightarrow$ $\rightarrow$ $\rightarrow$ $\rightarrow$ $\rightarrow$

•UHB loses δ states
•LHB gains 2δ states

**Observable experimentally (XPS)** 



### IV. Theoretical difficulties

(a) Straight numerical approaches

• $2^{30} \sim 1$  GB. Compare with  $4^{16} = 2^{32}$  for 16 site lattice!

Exact diagonalizations of *t-J* for one hole suggest:
One peak in A(k, ω) plus incoherent background
Peak disperses with width of J. Thus
Number of carriers small.
Effective mass finite.

Cluster Perturbation Theory (New method)
D. Senechal, D. Perez, M. Pioro-Ladriere Phys. Rev. Lett. 84 (2000) 522.



•Quantum Monte Carlo (S.R. White et al. Phys. Rev. B 40, 506 (1989))

- •AFM at n = 1. Away from half-filling problems with BC
- Pseudogap in weak to intermediate coupling
- •Temperature not low enough to establish *d-wave* superconductivity
- •Useful as a benchmark for analytical approaches.

•Main drawback: « fermion sign problem » and instabilities

Density Matrix Renormalization Group
People are working on d = 2 generalizations.



#### (b) Inadequacy of mean field in low dimension

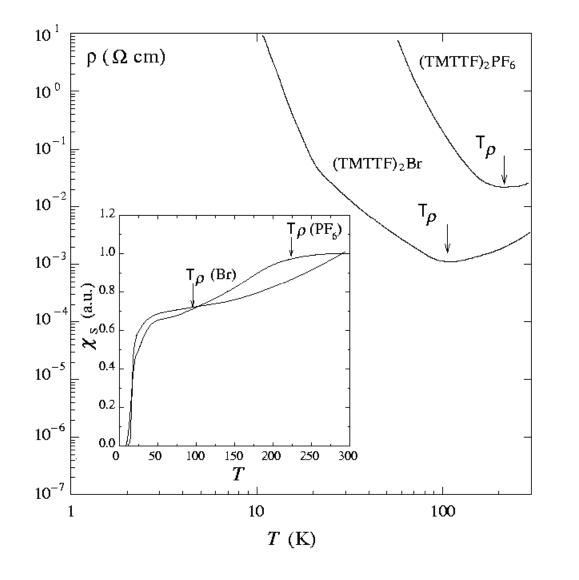
•Fluctuations dominate the physics

- •d = 1 Spin-Charge separation (*Luttinger* liquid Behavior) (Quantum fluctuations at T = 0)
- *d* = 2 Thermal fluctuations :
   *Hohenberg-Coleman-Mermin-Wagner* theorem.

And the strong interactions complicate all that.



C. Bourbonnais et al. (condmat/9903101).



- d=1 spin-charge separation

- •Straight perturbation theory for nested *d*=2 Fermi surface gives non-trivial results (F. Lemay PhD thesis, 2000)
- •Thermal and quantum fluctuations in d = 2

d = 2, Mermin-Wagner

$$(\nabla \theta)^2 \rightarrow q^2 \theta_{\mathbf{q}} \theta_{-\mathbf{q}}$$

$$\left\langle \theta^2 \right\rangle \propto \int d^2q \frac{kT}{q^2} \to \infty$$

d = 1: R.G., Bosonization, Conformal Field Theory... d = 2: Slave bosons, R.G., strong coupling p.t., TPSC

#### (c) Approaching from weak coupling

- Perturbation theory (Wick's theorem)
- Finding « effective interactions »
  - •RPA with long range interaction vs Hubbard
- Notion of « channel »
- RG and « interference »
- Problem with Pauli principle (Parquet, Bickers)
- Self-consistent treatments
- •Migdal's theorem vs theory of « ordinary » superconductors
- •Alternative: satisfy sum-rules
  - Conservation laws
  - •Pauli principle
  - Mermin-Wagner theorem

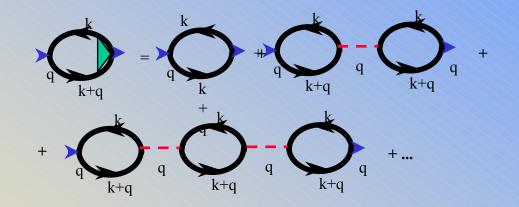
Fluctuation-induced pseudogap?

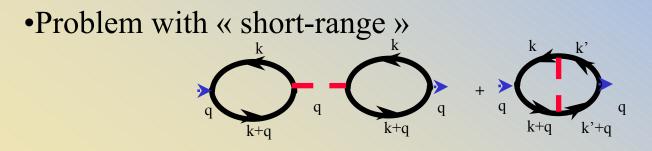


•Perturbation theory:

$$\frac{1}{(X+Y)} = \frac{1}{X} - \frac{1}{X} + \frac{Y}{X+Y}$$

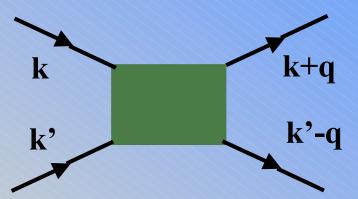
•Effective interaction (Screening in usual solids)



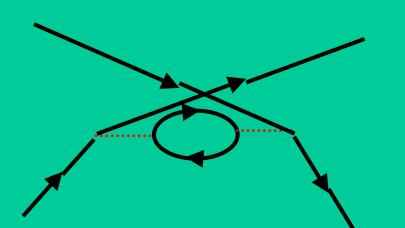




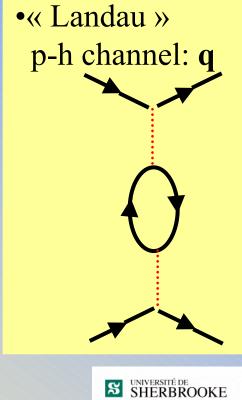
#### Notion of « channel »



•Particle – particle channel: **k** + **k**'



•«Peierls » particle-hole channel: **k-k'+q** 

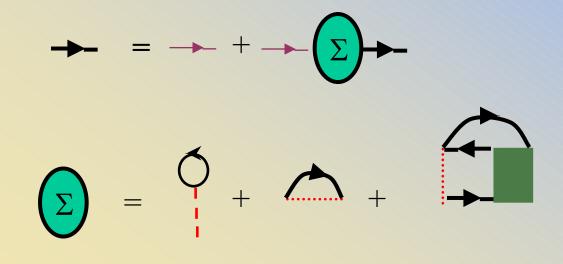


Renormalization group : « interference »

Zanchi, Schultz... Recent: C. Honerkamp et al. Phys. Rev. B 63 035109 (2001)

Problem with Pauli principle when summing infinite sets of diagrams
Need to include all crossed diagrams. In practice, impossible
Can be done approximately with Parquet approximation (Bickers) (with self-consistency, unsatisfactory)

« Self-consistency » and conservation laws





Self-consistency in conventional « Eliashberg » superconductivity
Take phonons as « given »

$$\Rightarrow = \Rightarrow + \Rightarrow (2) \Rightarrow$$

 $\Sigma = \delta F / \delta G$ 

Migdal's theorem:

 $\Gamma_{irr} = \delta \Sigma \ / \ \delta G$ 

can be droped because  $(m/M)^{1/2} \ll 1$ 

Not so for spin-fluctuation exchange

Conservation laws, but: no Pauli, infinite number of theories, assumes Migdal

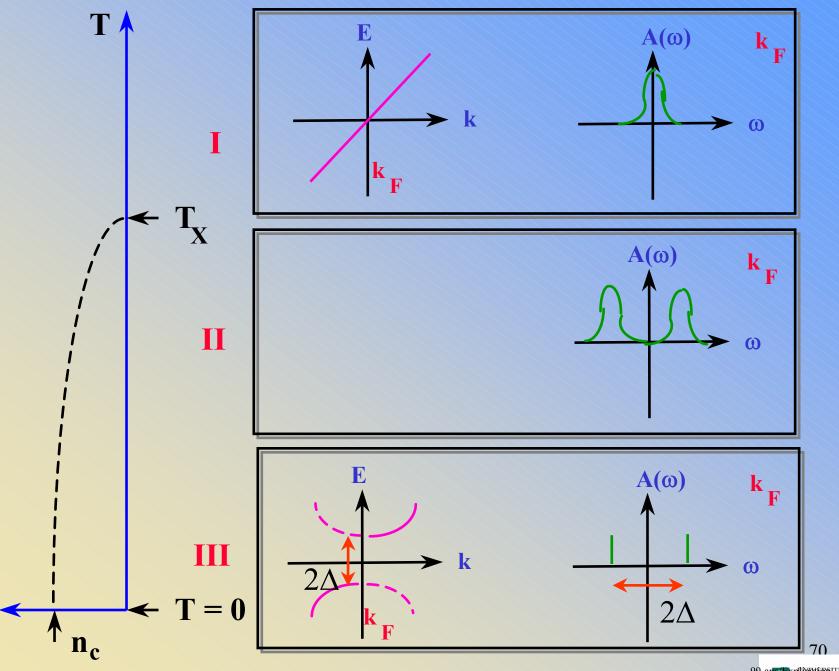


Non perturbative but from weak coupling:

- •Pauli
- Conservation laws
- •Mermin-Wagner

Main result: Fluctuation-induced pseudogap





99-apsy xplication ITÉ DE SHERBROOKE

#### (d) Approaching from strong coupling

#### •Straight perturbative treatment is difficult:

- No Wick's theorem
- •Non-causality

•(S. Pairault, D. Senechal, A.-M. S. T. Eur. Phys. J. B 16, 85 (2000))

•Slave bosons and slave fermions

• $c_{\uparrow}^{+}(1-n_{\downarrow}) \rightarrow f_{\uparrow}b^{+}$  or  $f b_{\uparrow}^{+}$ •Constraint:  $(\Sigma_{\sigma} f_{\sigma}^{+}f_{\sigma}) + b^{+}b = 1$ 

•Mean field : constraint with Lagrange multiplier

•Gauge theory

•
$$f_{\uparrow}^{+} \rightarrow e^{i\theta} f_{\uparrow}^{+}$$
  
• $b \rightarrow e^{-i\theta} b$ 

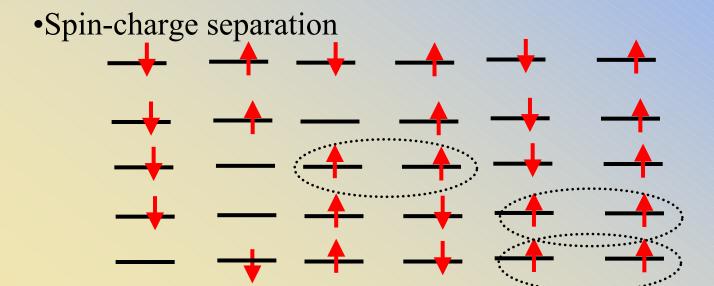
• $\lambda \rightarrow \lambda + \delta \theta / \delta \tau$ 



#### •Gauge theory:

- Break Gauge symmetry
- Look for mass of gauge field (stable if mass)
- Find topological excitations
  - •(charge carriers prop. to  $\delta$ )
- There are ambiguities (Slave-fermions vs slave-bosons) *e.g.* limit J = 0 (Nagaoka)

(Daniel Boies, F. Jackson and A.-M.S. T. Int. J. Mod. Phys. 9, 1001 (1995))





#### (e) Phonons

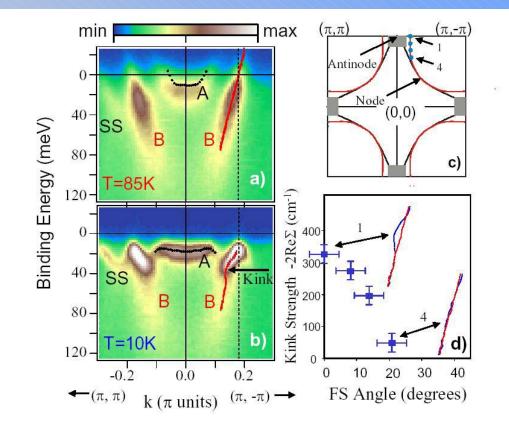


Figure 1. ARPES data showing a kink in a heavily overdoped Bi2212 sample, after ref.

#### Cuk, et al. Stanford, cond-mat/0403743



#### (f) Inhomogeneities

•Disorder is important in underdoped

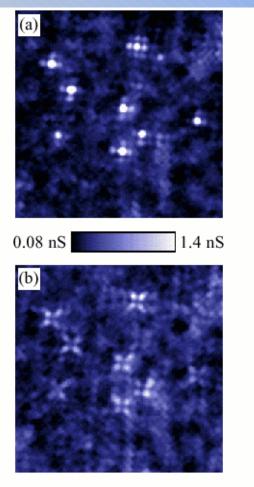
- •Even without interactions, complicated: localization
- •Main theoretical tools: impurity averaging, Replica trick

•Instabilities to inhomogeneous ground states:

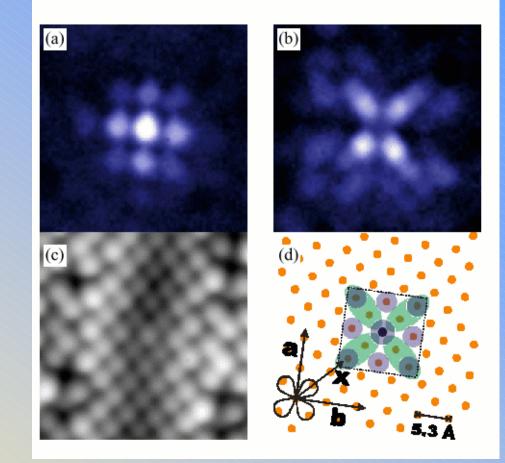
Using impurities as a « diagnostic tool » for superconducting state
 Complicated : Kondo effect etc...



#### Inhomogeneities



#### Impurities



#### E.W. Hudson *et al.* cond-mat/0104237 See also : C. Howald, P. Fournier, A. Kapitulnik cond-mat/0101251



#### (g) Quantum critical points....

Would help decide « What type » of fluctuations are important. (See later)

Loram and Tallon, cond-mat/0005063

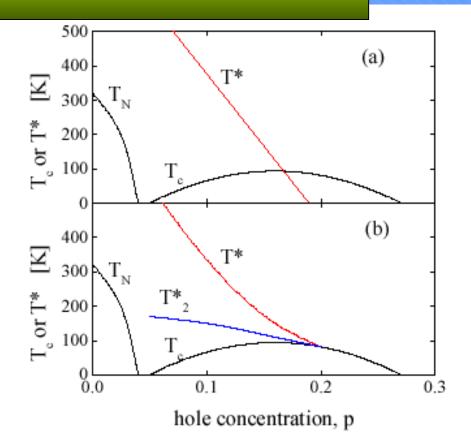


Fig. 1. Two scenarios for the "phase diagram" for HTS cuprates. In (a) T\* represents and energy scale which falls abruptly to zero at a critical doping, p=0.19. In (b) T\* merges with  $T_e$  on the overdoped side and often a lower  $T^*_2$  associated with a small pseudogap or a spin gap is invoked.  $T_N$  is the Neel temperature for the 3-D AF state.



### V. Conclusion

- The pseudogap summarizes anomalous « normal state» properties
  It is ill-understood
  - •Need to understand it to understand the phase diagram and superconductivity.
- •It is the motivation for a vast body of work in many directions
- •Methods have to be developed at the same time
- Sociology



- How can we understand electronic systems that show both localized and extended character?
- Why do both organic and high-temperature superconductors show broken-symmetry states where mean-field-like quasiparticles seem to reappear?
- Why is the condensate fraction in this case smaller than what would be expected from the shape of the would-be Fermi surface in the normal state?
- Are there new elementary excitations that could summarize and explain in a simple way the anomalous properties of these systems?
- Do quantum critical points play an important role in the Physics of these systems?
- Are there new types of broken symmetries?
- How do we build a theoretical approach that can include both strong-coupling and d = 2 fluctuation effects?
- What is the origin of d-wave superconductivity in the hightemperature superconductors?

Cest fini...

enfin